

1 **INFLAMMASOMES AND IL-1 FAMILY CYTOKINES IN SARS-COV-2 INFECTION:**

2 **from prognostic marker to therapeutic agent**

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24 **ABSTRACT**

25 Despite global vaccination programs, infections with severe acute respiratory syndrome
26 coronavirus-2 (SARS-CoV-2) continue to cause severe disease with significant morbidity and
27 mortality. Severe coronavirus disease 2019 (COVID-19) is characterized by an exuberant
28 inflammatory response in the lung leading to acute lung injury and consequent gas exchange
29 problems. Complete insights in this hyperinflammatory response are still lacking. However, a
30 thorough understanding of immunopathogenesis of severe COVID-19 is needed to not only
31 develop personalized targeted therapies, but also to identify biomarkers that predict disease
32 outcome and therapeutic responses. Here we review the current evidence that SARS-CoV-2
33 activates the inflammasome, which is an intracellular multiprotein complex that leads to the
34 activation and secretion of the interleukin (IL)-1 family cytokines, IL-1 and IL-18, and to a lytic
35 form of cell death, called pyroptosis. Further we discuss the contribution of inflammasomes
36 and IL-1 family cytokines to the immunopathogenesis of COVID-19 and its clinical implications.

37

38 **Introduction**

39 The potential of respiratory RNA viruses, such as influenza viruses and coronaviruses, to
40 adapt and mediate human-to-human transmission and to consequently cause a pandemic,
41 poses a constant and realistic threat to global health. This is illustrated by the current severe
42 acute respiratory syndrome coronavirus-2 (SARS-CoV-2) pandemic, as well as by previous
43 pathogenic coronavirus and influenza virus outbreaks. To date, SARS-CoV-2 continues to
44 cause morbidity and mortality. Despite a massive global effort to understand the virus and the
45 response of the host to it, there is still an unmet need for more effective therapies to treat the
46 most severe COVID-19 patients. A thorough understanding of the immunopathogenesis of
47 severe coronavirus infections is key for identifying targeted therapies and might also be
48 important for possible future pandemics caused by respiratory RNA viruses.

49

50 Severe coronavirus disease-2019 (COVID-19) traditionally follows a biphasic course with an
51 early viral response phase followed by a second hyperinflammatory phase, that usually occurs
52 around 8-10 days after onset of the first symptoms, when viral replication is waning^{1,2}. This
53 hyperinflammatory phase is characterized by exuberant production of inflammatory cytokines
54 and chemokines in the lungs, leading to the recruitment of pro-inflammatory cells, further
55 amplifying the inflammation and causing lung injury. The triggers and drivers of the
56 hyperinflammatory response in COVID-19 are currently incompletely understood, but parallels
57 to other respiratory viral infections can be important pointers. It is widely recognized that
58 inflammasomes are activated during viral infections, and these inflammasomes are involved
59 in the activation of the interleukin (IL)-1 family cytokines, particularly IL-1 β and IL-18 that
60 depend on caspase-1 activity for full biological activity³. Dysregulated activation of
61 inflammasomes could be a trigger for the hyperinflammation seen in severe COVID-19, a
62 hypothesis that was introduced already early after the first appearance of the virus⁴. Here, we
63 review the current evidence for inflammasome activation during SARS-CoV-2 infection and its
64 role in the immunopathogenesis of COVID-19.

65

66 **Inflammasomes and type 1 family cytokines**

67 Inflammasomes are intracellular multiprotein complexes that respond to intracellular and
68 extracellular danger-associated molecular patterns, thereby contributing to innate immunity.

69 Inflammasome activation is regulated at the transcriptional, as well as the post-translational
70 level. A first or priming signal is initiated by toll-like receptors (TLR), RIG-I-like receptors (RLR)
71 or other protein receptor engagement and induces the NF- κ B-dependent transcription of
72 NLRP3, pro-caspase-1, pro-IL-1 β and pro-IL-18^{5,6}. Once all components are available, a
73 second signal leads to inflammasome assembly, a process that is initiated by a sensor protein.

74 Of note, circulating monocytes might release processed IL-1 β after only one stimulation by
75 TLR-ligands, resulting from constitutively expressed caspase-1 and release of endogenous
76 ATP⁷. The nature of the sensing protein differs from one to the other named inflammasome
77 complex. The NLR family pyrin domain containing 3 (NLRP3) inflammasome is the most
78 extensively studied one and also the most promiscuous. NLRP3 inflammasome assembly can
79 be induced by different endogenous (such as ATP, uric acid crystals, etc) and exogenous
80 (such as bacterial products, viruses, etc) triggers. Unlike TLRs and RLRs, which detect
81 specific agonists, NLRP3 rather senses cellular damage and distress. Several mechanisms of
82 NLRP3 activation have been proposed, including ROS production, ion flux and lysosomal
83 damage, yet the exact mechanism remains to be elucidated⁸. Upon activation, NLRP3
84 multimerizes and recruits the apoptosis-associated speck-like protein containing a CARD
85 (ASC) adaptor protein. In a very similar stepwise approach like the NLRP3 inflammasome, the
86 RIG-I and AIM2 sensing proteins can interact with ASC to form the so-called RIG-I and AIM2
87 inflammasomes during viral infection⁹. The ASC adaptor protein recruits pro-caspase-1 and
88 activates it. Once caspase-1 is active, the inflammasome complex cleaves the precursor
89 cytokines pro-IL-1 β and pro-IL-18 into their active forms IL-1 β and IL-18 respectively. In
90 addition, the inflammasome complex cleaves the pore-forming protein gasdermin D
91 (GSDMD), resulting in the release of GSDMD N-terminal fragments that are essential for its

92 pore formation in cell membranes. GSDMD pore formation leads to inflammatory cell death or
93 pyroptosis, but also to the release of the processed cytokines IL-1 β and IL-18^{5,6}. IL-1 β and IL-
94 18 are pleiotropic proinflammatory cytokines that play crucial roles in innate immune
95 responses, in addition to instructing adaptive immune responses¹⁰⁻¹³. However, aberrant
96 expression of these cytokines might induce tissue damage, and elevated IL-1 and/or IL-18
97 have been involved in the pathogenesis of severe pneumonia, sepsis and shock¹⁴. IL-1 β also
98 enhances the production of TNF, and IL-6 is stimulated by both cytokines providing an
99 integrated amplified inflammatory response.

100

101 **Evidence of inflammasome activation by SARS-CoV-2**

102 Extensive immune profiling of serum from COVID-19 patients revealed high concentrations of
103 inflammatory markers, such as CRP and ferritin, and pro-inflammatory cytokines, chemokines,
104 and complement activation products, although concentrations of these were lower than in
105 classical cytokine release syndromes^{15,16}. CRP, ferritin and complement can be induced by
106 the inflammasome derived cytokines IL-1 β and IL-18¹⁷. Serum levels of the inflammasome
107 derived cytokine IL-18 are indeed consistently increased in COVID-19 patients compared to
108 healthy controls, with the highest levels observed in the most severe patients¹⁸⁻²¹. The pro-
109 inflammatory cytokine IL-6, which might be induced by IL-1 β and is a potent inducer of CRP,
110 is also consistently increased in serum of COVID-19 patients and highly predictive for poor
111 outcomes^{22,23}. However, most studies could not detect increased serum levels of IL-1 β in
112 COVID-19 patients^{16,21,24-28}, which might be due to the extremely short half-life of IL-1 β ²⁸.
113 Accordingly, in many trials in rheumatology and sepsis, it has been very difficult to detect
114 serum or plasma levels of the cytokine, and there has been a big interest in finding alternative
115 biomarkers that could identify patients with high IL-1 bioactivity. As an example, the soluble
116 IL-1 receptor antagonist (IL-1RA) is induced by IL-1 and its serum concentration can be
117 measured as a surrogate of IL-1 biological activity, without however discriminating between

118 IL-1 α and IL-1 β . IL-1RA levels are increased in the serum of COVID-19 patients^{16,24–26,29,30} and
119 correlate with disease severity^{29,30}. Recently, the soluble urokinase type plasminogen activator
120 receptor (suPAR) also emerged as an early biomarker for hyperinflammation in COVID-19
121 patients, at least identifying patients where IL-1 blockade might be beneficial³¹. Another
122 explanation for the normal IL-1 β serum levels in COVID-19 patients, even in the most severe,
123 might be a more localized production of IL-1 β in the lungs. This is supported by the observation
124 that serum cytokine levels often do not correlate with their whole blood RNA levels^{27,32}, while
125 single cell RNA sequencing of BAL fluid cells did show increased expression of pro-
126 inflammatory cytokines and chemokines locally in the lung^{33,34}. Accordingly, IL-1 β levels were
127 significantly increased in the bronchoalveolar lavage (BAL) fluid of COVID-19 patients
128 compared to healthy controls, and correlated also with disease severity^{35,36}. Moreover,
129 immunohistochemical staining of the lungs for IL-1 β and IL-18 revealed higher production of
130 these cytokines by macrophages in COVID-19 patients compared to healthy donors,
131 supporting the idea that cytokine production might be highly compartmentalized to the lungs.

132

133 Localized production of the inflammasome-dependent cytokines and their downstream target
134 cytokines, strongly suggests that there is activation of (potentially several) inflammasomes in
135 the lungs of COVID-19 patients. Accordingly, several groups reported the presence of NLRP3
136 and ASC specks in lung biopsies from COVID-19 patients^{18,37}. These inflammasome specks
137 were higher in COVID-19 samples compared to control subjects that died from
138 cardiopulmonary arrest. ASC specks have also been observed in SARS-CoV-2 infected
139 peripheral blood monocytes from COVID-19 patients^{18,28}. Rodrigues and colleagues found
140 NLRP3 puncta in monocytes from COVID-19 patients¹⁸, while Junqueira et al found, next to
141 NLRP3, also AIM2 puncta²⁸. The activation of the AIM2 sensor upon SARS-CoV-2 infection is
142 unexpected, as AIM2 senses cytosolic DNA³⁸. However, AIM2 activation was also observed
143 during experimental IAV infection in mice³⁹. Possibly, AIM2 is activated during SARS-CoV-2
144 infection by a bacterial surinfection or by host genomic DNA or mitochondrial DNA, released

145 through ruptured membranes of dying cells. In vitro experiments provide further evidence for
146 inflammasome activation by SARS-CoV-2^{18,19,28,40}. In vitro SARS-CoV-2 infection of human
147 monocytes induced the secretion of cleaved IL-1 β , LDH and active caspase-1 and these were
148 diminished when NLRP3 or caspase-1 specific inhibitors were added, suggestive for
149 inflammasome activation^{18,19}. In addition, the direct presence of ASC and/or NLRP3 puncta in
150 these in vitro infected monocytes were shown^{18,28}. Interestingly, in the presence of a NLRP3
151 selective inhibitor (MCC950) ASC-specks were still formed, suggesting that SARS-CoV-2 can
152 activate multiple inflammasomes¹⁸.

153 Also in mouse model of SARS-CoV-2 infection using humanized K18-hACE2 mice, NLRP3
154 inflammasome priming, activation of caspase-1 and maturation of IL-1 β were established in
155 the lungs of infected mice⁴¹. Nevertheless, the presence of NLRP3 and ASC was not assessed
156 as direct evidence of inflammasome activation.

157 Next to high levels of pro-inflammatory cytokines and chemokines, the cell lysis marker LDH
158 is increased in the serum of COVID-19 patients, and high LDH is a strong indicator of severe
159 disease and poor clinical outcome^{28,42-44}. LDH might be a sign of pyroptosis, as it is released
160 into the extracellular space when plasma membrane integrity is disrupted. Observations of
161 increased cleaved caspase-1 and GSDMD in the serum of COVID-19 patients support the
162 hypothesis that the increased LDH concentrations are due to inflammasome induced
163 pyroptosis^{28,45}. In addition, GSDMD was also found to be increased in the lung tissue of
164 COVID-19 patients⁴⁵.

165

166 **Activation of the inflammasome by SARS-CoV-2**

167 *In vivo* and *in vitro* data thus support that SARS-CoV-2 induces NLRP3 inflammasome
168 assembly. However, the molecular mechanisms by which NLRP3 inflammasome assembly is
169 induced upon SARS-CoV-2 infection, and more broadly upon viral RNA infection, are still
170 incompletely understood. Several mechanisms have been proposed (**figure 1**).

171 **Indirect inflammasome activation by ion flux** It is increasingly evident that NLRP3 senses
172 viral infections by cellular damage or distress induced by viroporins. Viroporins are
173 transmembrane pore-forming viral proteins that enhance viral shedding from infected cells,
174 but also mediate ion in- and efflux. The envelope (E) protein of both SARS-CoV-1 and SARS-
175 CoV-2 has been shown to form a K⁺ permeable ion channel^{46,47}, suggesting that these proteins
176 might contribute to inflammasome activation. Indeed, mice infected with a mutant SARS-CoV-
177 1 virus that has suppressed ion conductivity of the E protein, exhibit the same amount of pro-
178 IL-1 β , but lower levels of cleaved active IL-1 β in the lungs compared to the mice infected with
179 the wild type SARS-CoV-1 virus⁴⁶. During SARS-CoV-2 infection, inhibition of the E channel
180 similarly limits pulmonary inflammation, but it has not been formally investigated whether this
181 observation is due to reduced inflammasome activation⁴⁷.

182 Seemingly at odds with the above-described findings, are the observations of decreased
183 inflammasome priming in bone marrow derived macrophages transduced with E protein
184 lentivirus compared to those transfected with control lentivirus⁴⁸. The same observations were
185 made in vivo when mice received E protein or control lentivirus and were next challenged with
186 poly(I:C) to mimic the effects of viral RNA, yet this should be validated in SARS-CoV-2
187 infection mouse models. In contrast, when the authors primed the bone marrow derived
188 macrophages with LPS and poly(I:C), transduction with E protein lentivirus enhanced NLRP3
189 inflammasome activation, maybe suggesting that during the later stages of infection, when the
190 NLRP3 inflammasome is primed by other triggers, the E protein can contribute to NLRP3
191 inflammasome activation. However, this should be investigated in in vivo models of SARS-
192 CoV-2 infection. In addition, the ORF3a viroporin of SARS-CoV-1 has been shown to activate
193 the NLRP3 inflammasome by disrupting intracellular K⁺ concentrations and causing
194 mitochondrial ROS production¹². There is a high conservation of the ORF3a protein across
195 coronavirus genomes and indeed, the SARS-CoV-2 viroporin ORF3a is also able to promote
196 NLRP3 inflammasome assembly through the induction of K⁺ efflux, a well-known trigger of
197 the NLRP3 inflammasome⁴⁹. Moreover, ORF3a of both SARS-CoV-1 and SARS-CoV-2 also

198 primes the inflammasome (signal 1) by activating the NF- κ B pathway and consequent
199 expression of pro-IL-1 β ^{49,50}. Ion efflux might also be mediated by other mechanisms than
200 viroporins. Da Costa and colleagues reported that RNA viral replication induces lytic cell death
201 and K⁺ efflux, leading to NLRP3 inflammasome activation⁵¹. Many of these findings rely on in
202 vitro overexpression of viroporins in cell lines, and consequently these findings need to be
203 validated in *in vivo* models of SARS-CoV-2 infection.

204 **Direct interaction with inflammasome sensing proteins.** It has been reported that
205 coronavirus derived proteins can activate the inflammasome by direct interaction with
206 inflammasome proteins. Siu et al. found that the SARS-CoV-1 ORF3a protein activates the
207 NLRP3 inflammasome also independently of its ion channel activity⁵⁰. Instead, they proposed
208 a mechanism by which ORF3a directly interacts with TRAF3, thus promoting the ubiquitination
209 of ASC, with consequent NLRP3 inflammasome activation. This has not been described for
210 the ORF3a protein of SARS-CoV-2 yet. The ORF8b protein of SARS-CoV-1 promotes
211 inflammasome assembly by the formation of insoluble intracellular aggregates that directly
212 interact with NLRP3⁵². Aggregates of ORF8b induce lysosomal stress, which is a well-
213 recognized trigger for NLRP3 inflammasome assembly^{53,54}. Despite the ability of the SARS-
214 CoV-2 ORF8 protein to also form intracellular aggregates⁵⁵, it has not been reported to be
215 involved in NLRP3 inflammasome activation, potentially due to the substantial amino acid
216 differences between the SARS-CoV-1 and SARS-CoV-2 ORF8 proteins⁵⁶. Nevertheless, the
217 N protein of SARS-CoV-2 has been shown to directly interact with the NLRP3 protein in vivo,
218 leading to inflammasome assembly and consequent secretion of IL-1 β and pyroptosis⁵⁷.

219 **Inflammasome sensing of viral RNA** It is widely accepted that NLRP3 assembly is also
220 induced by viral RNA, but the exact underlying mechanism remains a matter of debate⁵⁸⁻⁶².
221 GU-rich single-stranded (ss) RNA of SARS-CoV-2 was shown to elicit the expression and
222 maturation of IL-1 β from human macrophages through NLRP3 inflammasome activation, yet
223 in the absence of pyroptosis⁶². NLRP3 inflammasome activation was dependent on TLR8
224 activation, with K⁺ efflux acting as a second signal. In addition, it was suggested that viral RNA

225 or RNA cleavage products bind with the DexD/H-box RNA helicase family member DHX33,
226 which consequently directly interacts with NLRP3 to induce inflammasome assembly^{59,63}.
227 However, others could not find a major role for DHX33 in RNA virus induced NLRP3
228 activation^{51,60}. Whether this pathway is involved during SARS-CoV-2 infection remains to be
229 elucidated. Finally, it is described that viral dsRNA can trigger inflammasome activation by
230 activating the RIP1-RIP3-DRP1 pathway which promotes mitochondrial damage, an important
231 stimulus for NLRP3 assembly⁶⁰. The RIP1-RIP3 pathway is involved in necroptosis, a lytic
232 form of cell death. However, inflammasome activation by this pathway was independently of
233 MLKL, an essential downstream effector of RIP1-RIP3-dependent necroptosis. Whether RIP1-
234 RIP3-DRP1 dependent inflammasome activation also applies in the context of SARS-CoV-2
235 infection remains to be elucidated. Viral infections can also indirectly activate inflammasomes
236 as they induce tissue damage⁸. Cell death releases a series of DAMPS, such as ATP,
237 hyaluronan, uric acid, etc, that also induce inflammasome assembly with consequent cytokine
238 release and pyroptosis. Indeed, necroptosis and inflammasome induced pyroptosis lead to
239 additional inflammasome activation by the release of DAMPS, resulting in a positive feedback
240 loop.

241 In addition to the above-mentioned mechanisms, Kucia et al showed that interaction of the
242 Spike (S) protein with ACE2 and TLR4 receptors on hematopoietic stem/progenitor cells and
243 endothelial progenitor cells induced inflammasome activation and pyroptosis, as was
244 assessed by increased levels of active caspase-1 and LDH in the culture supernatant⁴⁰. When
245 MCC950 was added, caspase-1 activity and LDH levels significantly decreased, suggesting
246 the involvement of the NLRP3 inflammasome. Yet this possible inflammasome assembly
247 induced by the direct interaction of the SARS-CoV-2 S protein with its receptor needs further
248 validation in in vivo models.

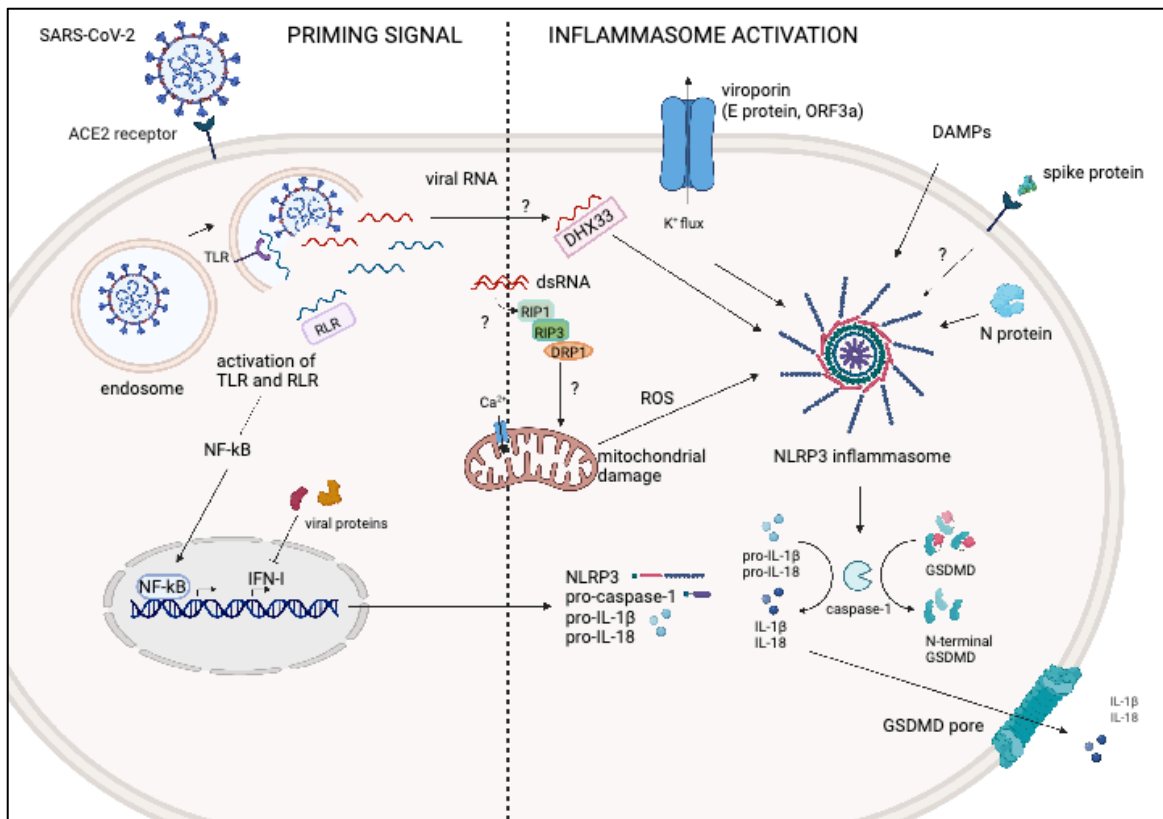
249 Other hypotheses of inflammasome activation during SARS-CoV-2 infection have been
250 postulated, but need experimental validation⁴. Binding of Angiotensin II to its AT1 receptor can
251 activate the NLRP3 inflammasome, and consequently as the ACE2 receptor is internalized

252 after SARS-CoV-2 binding, this might reduce the conversion of angiotensin 2, leading to
253 increased triggering of the renin-angiotensin-aldosterone system. Moreover, it has been
254 shown that SARS-CoV-2 activates all three arms of the complement pathway⁶⁴. Complement
255 activation might influence inflammasome activation, in both an activating (C5b-9 complex, C3a
256 and C5a) and an inhibiting way (C1q)⁶⁵. Yet the interaction between the complement pathway
257 and inflammasome activation needs to be explored in the context of SARS-CoV-2 infection.

258 Taken together, inflammasomes might be activated by multiple possible mechanisms during
259 SARS-CoV-2 infection. However, many pathways still need to be investigated specifically in
260 the context of SARS-CoV-2, and most of the findings specific to SARS-CoV-2 need validation
261 in *in vivo* models and in humans. Understanding the mechanisms by which SARS-CoV-2
262 induces inflammasome assembly is important in order to develop novel therapeutic strategies
263 to target this pathway.

264

265



266

267 **Figure 1: Possible mechanisms of inflammasome activation by SARS-CoV-2.** SARS-CoV-2
 268 infection triggers the activation of toll-like receptors (TLR) and RIG-I-like receptors (RLR) with
 269 consequent priming of the inflammasome by inducing the NF- κ B dependent transcription of NLRP3,
 270 pro-caspase-1, pro-interleukin (IL)-1 β and pro-IL-18. Next, SARS-CoV-2 viroporins (ORF3a and the
 271 envelope (E) protein), might activate the NLRP3 inflammasome by the induction of ion flux. In addition,
 272 the N protein of SARS-CoV-2 was shown to directly interact with NLRP3 to activate its assembly. Viral
 273 RNA can activate the NLRP3 inflammasome by binding through DHX33, which directly interacts with
 274 NLRP3, or by activating the RIP1-RIP3-DRP1 pathway, which induces mitochondrial damage and
 275 consequent NLRP3 activation. Of note, these latter 2 pathways remain to be investigated in the context
 276 of SARS-CoV-2 infection. Binding of the S protein to the ACE2 receptor was shown to inflammasome
 277 activation *in vitro*, but the exact mechanism remains to be elucidated. Finally, SARS-CoV-2 induces
 278 tissue damage with the release of danger associated molecular patterns (DAMP), also leading to
 279 inflammasome activation. NLRP3 activation leads to the assembly of the inflammasome complex with
 280 consequent cleavage of pro-caspase-1 into active caspase-1. Caspase-1 cleaves pro-IL-1 β and pro-IL-
 281 18 into their active forms. In addition, it cleaves gasdermin D (GSDMD) of which the N-terminal
 282 fragments form a transmembrane pore. GSDMD pore formation leads to the release of cytokines and

283 *lytic cell death or pyroptosis. ROS: reactive oxygen species; dsRNA: double-stranded RNA; ACE2*
284 *receptor: angiotensin converting enzyme-2 receptor. Created with BioRender.com.*

285

286 **Inflammasome and its downstream cytokines: contribution to pathogenesis**

287 *A temporal role for inflammasome activation during SARS-CoV-2 infection?*

288 As described above, serum and BAL fluid levels of the inflammasome derived cytokines IL-18
289 and IL-1 β , and its downstream cytokines IL-6 and surrogate biomarkers IL-1RA and suPAR,
290 are significantly correlated with severe COVID-19, suggestive for inflammasomes to be drivers
291 of an exuberant host response. Accordingly, GSDMD, NLRC4 and NLRP3 eQTLs linked to
292 increased blood expression are significantly associated with severe COVID-19²⁸. Moreover, it
293 was reported that lung injury and cytokine production induced by the SARS-CoV-2 N protein
294 were reversed in mice treated with the NLRP3 inhibitor MCC950 and in *Nlrp3*^{-/-} mice⁵⁷, further
295 suggesting that NLRP3 activation contributes to severe disease.

296 However, several lines of evidence from other mouse models of viral RNA infections suggest
297 that inflammasomes and their downstream cytokines might also be protective against severe
298 disease, especially early during the infection^{11,58,66}. A lot of this knowledge stems from
299 influenza A virus (IAV) models, where a temporal role for inflammasomes and its downstream
300 cytokines applies. While mice carrying a gain-of-function mutation in the *Nlrp3* gene are
301 strongly resistant to IAV infection due to IL-1 β mediated neutrophil recruitment¹¹, mice
302 defective for NLRP3 or caspase-1 were more susceptible to IAV infection due to a decreased
303 neutrophil and monocyte recruitment and increased lung damage early during infection^{58,66}. In
304 accordance with these observations, administration of the NLRP3 specific inhibitor MCC950
305 directly after IAV infection increased disease severity⁶⁷. However, when MCC950 was given
306 later in the disease course, when symptoms were present, mice were protected from severe
307 IAV infection. In consistency with NLRP3 contributing to early disease control, mice lacking
308 the IL-1-receptor exhibit increased mortality with reduced inflammatory lung pathology upon

309 IAV infection, suggesting that IL-1 signaling, by both IL-1 α and IL-1 β , limits virus induced
310 damage, potentially by affecting viral titers⁶⁸. In contrast, treatment with anti-IL-1 β from day 3
311 post IAV infection ameliorated the hyperinflammation and increased survival⁶⁹. When anti-IL-
312 1 β treatment was initiated earlier, increased survival was still observed, although to a lesser
313 extent compared to treatment initiated at day 3. These observations in IAV infection, suggest
314 that inflammasome activation and consequent IL-1 signaling is needed to limit initial virus
315 induced disease, while exuberant IL-1 β release might contribute to hyperinflammation driving
316 severe disease. In a SARS-CoV-2 infection model using humanized K18-hACE2 mice,
317 treatment with IL-1RA, starting 1 day after infection, ameliorated survival, weight loss and lung
318 inflammation, while slightly increasing viral load⁴¹. This is consistent with what is described in
319 IAV infection. However, whether the temporal role of IL-1 signaling observed in IAV models,
320 also applies to SARS-CoV-2 infection, needs to be investigated by using timed IL-1 inhibition
321 and IL-1R^{-/-} mice.

322 Also accordingly to observations from IAV mouse models, Pan and colleagues reported that
323 lung injury and cytokine production induced by the SARS-CoV-2 N protein were reversed in
324 mice treated with the NLRP3 inhibitor MCC950 and in NLRP3^{-/-} mice⁵⁷, suggesting that NLRP3
325 activation contributes to severe disease. They could not assess if early inflammasome
326 activation limits viral replication and virus induced lung injury, as they only investigated the
327 role of SARS-CoV-2 N protein. Consequently, further exploration of these findings is needed
328 in more physiologic models of SARS-CoV-2 infection. Recently, a not yet peer reviewed report
329 described therapeutic benefits of caspase-1 and NLRP3 blockade in a humanized COVID-19
330 mouse model that uses AAV to deliver ACE2 to the lungs of humanized MISTRG-6 mice⁷⁰.
331 While observing higher viral loads, caspase-1 blockade starting 6 days post-infection reduced
332 the inflammatory profile in the lungs of infected mice, reversing the immune-pathological state
333 of the lung, measured by scoring of lung histology. However, the effects of earlier caspase-1
334 blockade were not studied. Accordingly to the previous 2 studies, Zeng et al observed
335 ameliorated pulmonary inflammation and lung injury in NLRP3^{-/-} mice compared to wild type

336 controls in a mouse model of SARS-CoV-2 infection⁷¹. In contrast to other studies, they also
337 observed a reduced viral load in the absence of NLRP3 signaling. The same observations
338 were made when hACE2 transgenic mice were treated with MCC950 starting at the day of
339 infection.

340 Next to IL-1 β , the other inflammasome derived cytokine IL-18 is also important for initial control
341 of virus induced damage. Mostly in combination with IL-12, IL-18 activates T and NK cells to
342 proliferate and produce IFN γ , which is a crucial element for defense against infections⁷².
343 Accordingly, upon IAV infection, mice lacking IL-18 exhibit increased mortality with
344 pronounced virus growth and massive inflammatory cell influx⁷³. Upon murine hepatitis
345 coronavirus infection, IL-18R^{-/-} mice were also more vulnerable, with poor survival and
346 elevated viral replication compared to wild-type mice⁷⁴. The same observation was made in
347 mice lacking all inflammasome signaling (Casp-1/11^{-/-}). However, mice lacking IL-1 signaling
348 exhibited similar survival upon infection with murine hepatitis coronavirus compared to their
349 wild-type littermate controls, although viral replication was increased in the IL-1R^{-/-} mice⁷⁴. In
350 contrast, when produced in excessive amounts, IL-18 might be detrimental by inducing
351 hyperinflammation-related injury⁷². IL-18 has also been shown to play a role in
352 hemophagocytic lymphohistiocytosis syndromes. Taken together, IL-18 is protective in the
353 early phase of viral infection driving an appropriate response against the pathogen, while it
354 can become detrimental in later phases. Whether IL-18 effectively contributes to
355 hyperinflammation in later stages of viral infection remains to be elucidated, as timed IL-18
356 antagonism has not been investigated. Moreover, the possible protective and detrimental roles
357 of IL-18 in COVID-19 need to be validated in mouse models of this disease.

358

359 The exact functional role of inflammasome activation during SARS-CoV-2 infection remains
360 to be elucidated by using accurate mouse models of COVID-19, but based on the data
361 described above, it is clear that tight regulation of inflammasome activation during viral

362 infection is crucial. Once activated, inflammasomes can amplify the inflammatory response in
363 a paracrine manner, as their activation induces pyroptosis with the release of a second series
364 of inflammasome agonists (e.g. ATP, hyaluronan, etc)⁸. In addition, IL-1 β and IL-18 contribute
365 to the recruitment of additional effector populations⁸. Moreover, binding of IL-1 β to its receptor
366 results in the transcription of pro-IL-1 β , increasing the availability of substrate for activated
367 inflammasomes⁷⁵. The precise role of IL-18 binding protein (IL-18BP) also deserves more
368 study, since it is a major antagonist of the biological activity of IL-18⁷⁶. Lack of IL-18BP might
369 be related to the hyperactivation of macrophages seen in COVID-19 patients.

370

371 *Inflammasomes and adaptive immune responses*

372 Despite the report of preserved adaptive immune responses in *Nlrp3*^{-/-} and *Casp1*^{-/-} mice
373 during IAV infection⁶⁶, other studies suggest that inflammasome activation is needed for
374 optimal adaptive immune responses. ASC and caspase-1 are required for effective CD4 and
375 CD8 T cell responses, as well as for mucosal IgA secretion and systemic IgG responses during
376 IAV infection⁷⁷. However, NLRP3 was not required, suggesting that also other inflammasomes
377 are activated during IAV infection which contribute to the initiation of effective adaptive immune
378 responses. IL-1 signaling was shown to be necessary during IAV for effective CD4 T cell
379 activation and IgM production, while the activation of CD8 T cells, virus killing, IgG and IgA
380 levels were intact in *Il1r1*^{-/-} mice⁶⁸. In *Il18*^{-/-} mice, antibody production and generation of CD8
381 T lymphocytes was preserved during IAV infection, yet the specific CD8 T cells produced less
382 IFN γ , TNF α and IL-2^{68,73}. This might provide an additional explanation for the previously
383 described reduced viral clearance in IL-18 deficient animals. To date, no data on
384 inflammasomes and adaptive immunity in SARS-CoV-2 infection are available. Whether
385 inflammasome activation is needed to initiate effective adaptive immune responses during
386 SARS-CoV-2 infection needs to be investigated.

387

388 *Crosstalk between inflammasomes and type 1 interferons*

389 Type 1 interferon (IFN) is crucial as it provides an immediate suppression of viral replication
390 ⁷⁸ and inhibition of inflammasome activation⁷⁹. Besides that, it is also required for protective T
391 cell responses⁸⁰. A characteristic feature of SARS-CoV and MERS-CoV viruses is their ability
392 to inhibit and delay the induction of type I IFN by infected cells^{81,82}. SARS-CoV-2 is also able
393 to inhibit the type I IFN responses in infected cells, leading to delayed or overall suppressed
394 type I IFN responses^{83,84}. This mechanism might be a virulence factor of SARS-CoV-2, thereby
395 escaping from the host innate immune response.

396 The suppressed type I IFN response might be a driver of severe COVID-19, as inborn errors
397 in the type I IFN pathway or the presence of neutralizing auto-antibodies to type I IFN are
398 strongly over-represented among individuals who developed life-threatening COVID-19 ^{85,86}.
399 In contrast to these findings, it has initially been suggested that the type I IFN response
400 contributes to the hyperinflammatory response seen in severe COVID-19 patients⁸⁷. However,
401 all other reports consistently show a decreased type 1 IFN response in severe COVID-19,
402 along with an exacerbated pro-inflammatory response^{24,32,88}. Again, timing is everything to
403 explain the effects of type I interferons in COVID-19. In a mouse model of SARS-CoV-1,
404 delayed type 1 IFN signaling was accompanied by the recruitment of inflammatory monocyte-
405 macrophages that produce the inflammasome derived cytokine IL-1 β , along with TNF α and
406 IL-6⁸⁹. This population of cytokine producing inflammatory monocyte-macrophages has also
407 been identified in the BAL fluid of patients infected with SARS-CoV-2 by RNA sequencing^{35,36}.
408 The recruitment of these monocyte-macrophages was also reduced upon abrogation of
409 endogenous type 1 interferon signaling in a mouse model of SARS-CoV-2⁹⁰, suggesting that
410 the delayed type 1 interferon response also contributes to disease pathogenesis in COVID-
411 19. However, this needs further investigation in models of SARS-CoV-2.

412 In addition, in a mouse model of MERS infection, delayed type 1 IFN responses are also
413 associated with reduced virus clearance, increased pro-inflammatory cytokines and poor
414 outcomes⁹¹. Type 1 IFN signaling was shown to inhibit inflammasome activation in a STAT-1
415 dependent manner⁷⁹. In addition, the suppressed type 1 IFN response might enhance SARS-
416 CoV-2 replication and consequent tissue damage, both leading to increased inflammasome
417 activation.

418 Taken together, severe COVID-19 patients are characterized by a defective or delayed type
419 1 interferon response and a concomitant exuberant inflammatory cytokine production²⁴. This
420 raises the question whether COVID-19 is a disease driven by immunosuppression or
421 hyperinflammation, as the reduced type 1 IFN response might be the driver of exuberant
422 inflammasome activation. Moreover, despite high levels of pro-inflammatory cytokines in
423 serum, ex vivo stimulation of peripheral blood mononuclear cells (PBMC) of COVID-19
424 patients led to decreased cytokine production compared to healthy controls, septic patients
425 and critically ill non-septic patients^{25,32}.

426 *Risk factors for severe COVID-19 are associated with increased inflammasome activation and*
427 *pro-inflammatory cytokines*

428 Various host intrinsic risk factors for severe COVID-19 are correlated with increased
429 inflammasome activation. Obesity and type 2 diabetes are both predictors of increased
430 morbidity and mortality during SARS-CoV-2 infection. Both conditions are characterized by
431 chronic low grade inflammation and inflammasome activation⁵. This pre-existing
432 inflammasome priming might enhance SARS-CoV-2 induced inflammasome activation, as
433 different positive feedback loops for inflammasome activation have been described⁸.

434 Male sex is also an independent risk factor for increased morbidity and mortality from COVID-
435 19^{92,93}. The male immune response is characterized by a lower type 1 IFN response and
436 consequently higher susceptibility to viral infections compared to females⁹⁴. Accordingly, in
437 the early phase of COVID-19, type 1 IFN is lower in males compared to females, whereas IL-
438 8 and IL-18 levels are higher in the plasma of males⁹⁵, suggestive for increased inflammasome

439 activation in male patients. In a mouse model of SARS-CoV-1 infection, the higher mortality
440 of male mice was attributed to the protective roles of the female sex hormone estrogen^{92,96}.
441 Estrogens have been shown to dampen the exuberant production of pro-inflammatory
442 cytokines and chemokines⁹², providing an explanation for females to be at reduced risk of
443 severe COVID-19.

444 Finally, older age is associated with severe SARS-CoV-2 infection. Aging is accompanied by
445 a decreased type 1 IFN response and elevated innate proinflammatory cytokines and
446 chemokines upon viral infection⁹⁷, suggesting that older individuals are more prone to
447 exuberant inflammasome activation during SARS-CoV-2 infection.

448

449 **Clinical implications**

450 Increasing evidence suggests that NLRP3 inflammasome activation with consequent release
451 of IL-1 β and IL-18, and downstream IL-6 and TNF production, contributes to the
452 hyperinflammation, characteristic for severe COVID-19. Several randomized controlled trials
453 (RCTs) with repurposed drugs targeting the inflammasome and its downstream cytokines,
454 have been conducted in COVID-19 patients (**Table 1**). Many RCTs with IL-6 or IL-6R blockade
455 have been published, yet mixed results were observed across different trials⁹⁸. Two large
456 platform trials showed improved outcomes with IL-6 blockade: the RECOVERY trial observed
457 an increased survival rate in patients with respiratory failure and increased serum CRP
458 concentration with tocilizumab, and the REMAP-CAP trial showed an increased number of
459 organ-support free days at day 21 in ventilated patients or patients with cardiovascular organ
460 support with tocilizumab or sarilumab^{99,100}. Other trials with IL-6 or IL-6R blockade could not
461 observe improved outcomes in COVID-19 patients^{101–112}.

462 Trials targeting the more upstream cytokine IL-1 also had mixed results. An RCT employing
463 canakinumab, an anti-IL-1 β antibody, in non-ventilated COVID-19 patients with hypoxia and
464 systemic inflammation failed to significantly increase the likelihood of survival without invasive
465 mechanical ventilation¹¹³. Another RCT employing the IL-1 receptor antagonist anakinra,

466 which targets both IL-1 β and IL-1 α , was prematurely terminated for absence of effect¹¹⁴. In
467 accordance, the COV-AID trial that was conducted by us in Belgian centers could not observe
468 therapeutic benefits for anakinra in COVID-19 patients with signs of systemic cytokine release,
469 even when the subgroup with the highest concentrations of serum IL-1RA or IL-6 were
470 analyzed separately in a post-hoc analysis of the data¹⁰⁹. In marked contrast, another RCT
471 (SAVE-MORE) reported an impressive and much more favorable outcome of anakinra
472 treatment on day 28 survival compared to standard of care in patients selected on the basis
473 of high concentration of the biomarker soluble urokinase plasminogen activator receptor
474 (SuPAR)³¹. Possibly, the clinical severity of these patients was milder compared with the ones
475 in the COV-AID trial. A recent systematic review of RCTs targeting IL-1 signaling in COVID-
476 19 patients could not find evidence for an important beneficial effect of IL-1 blocking agents¹¹⁵.
477 The mixed success of trials targeting single cytokines might be explained by the redundancy
478 of inflammatory cytokine pathways able to drive the hyperinflammatory response along many
479 paths. Consequently, direct targeting of the inflammasome might be more effective. Several
480 trials targeting the NLRP3 inflammasome with colchicine or metformin have been initiated.
481 RCTs with colchicine in COVID-19 patients showed different results, and a recent meta-
482 analysis of those RCTs could not identify a benefit of colchicine in COVID-19 patients^{116–120}.
483 Currently, 3 RCT with metformin are ongoing (NCT04604678, NCT04625985, NCT04510194)
484 and 1 one was prematurely stopped (NCT04626089). We need to await analysis of these
485 trials, before firm conclusions can be made.

486 Targeting of GSDMD pore formation has also been proposed as a treatment for COVID-19
487 patients, as this could prevent the release of IL-1 β and DAMPs. Disulfiram, a drug approved
488 for alcohol dependence, inhibits GSDMD pore formation, and RCTs investigating its effect in
489 COVID-19 patients have been initiated, but no results have been published yet (NCT04485130
490 and NCT04594343)¹²¹. Dimethyl fumarate and fumarate have also been shown to inhibit
491 GSDMD¹²². To date, the RECOVERY trial investigates the safety and efficacy of dimethyl

492 fumarate in patients hospitalised with COVID-19, but results are not available yet
 493 (NCT04381936).

494 Direct targeting of IL-18 has not yet been investigated in COVID-19 patients. To date, no IL-
 495 18 blocking drugs are approved, but a monoclonal anti-IL-18 antibody, as well as
 496 recombinant human IL-18BP have been tested in type 2 diabetes, rheumatic diseases and
 497 hemophagocytic lymphohistiocytosis syndrome⁷². Of note, IL-18BP in high concentrations
 498 also binds IL-37, preventing IL-37 to suppress inflammation¹²³. IL-37 acts as an anti-
 499 inflammatory cytokine, suppressing both innate and adaptive immunity. Consequently,
 500 administration of recombinant IL-18BP could lead to sequestration of IL-37, thus possibly
 501 making the hyperinflammation worse.

502 **Table 1: Overview of targeting strategies with repurposed drugs to inhibit the inflammasome**
 503 **and its downstream effectors in COVID-19.**

Target	Repurposed drug	Published RCTs with primary outcome	Evidence summary
1. Direct inhibition	Colchicine	GRECCO-19: improved time to clinical deterioration (ref 117) RECOVERY: no effect on mortality (ref 118) COLCORONA: no effect on mortality or hospital admission in community treated patients, but decreased mortality and hospital admission in the subgroup with PCR-confirmed COVID-19 (ref 119) Lopes et al: reduced length of supplemental oxygen therapy and hospitalisation (ref 120)	No reduced risk of mortality, need for ventilatory support, ICU admission or length of hospital stay (ref 103)
	Metformine	No published trials (NCT04626089: prematurely stopped; other registered: NCT04604678, NCT04625985, NCT04510194)	Not available
2. IL-1 signaling	Anakinra	CORIMUNO-ANA: no effect on mortality, need for ventilation or survival without ventilation (ref 113) COV-AID: no effect on time to clinical improvement (ref 107) SAVE-MORE: increased clinical status at day 28 (ref 31)	Meta-analysis of RCTs: little or no increase in clinical improvement at day 28 (ref)
	Canakinumab	CAN-COVID: no effect on survival without mechanical ventilation (ref 113)	
3. IL-6 signaling	Sarilumab	CORIMUNO-SARI-1: no effect on survival or need for ventilation (ref 101) REMAP-CAP: increased number of organ-support free days (ref 100) SANOFI: no effect on time to clinical improvement (ref 102) SARICOR: no effect on evolution ARDS (ref 103) SARTRE: no effect on progression to severe respiratory failure (ref 104)	Meta-analysis of RCTs: Lower 28-day all-cause mortality (ref 99)
	Tocilizumab	BACC-BAY: no effect on preventing intubation or death (ref 105) CORIMUNO-TOCI-1: no effect on survival or need for ventilation (ref 106) COV-AID: no effect on time to clinical improvement (ref 107) COVACTA: no effect on clinical status or mortality (ref 108) COVIDSTORM: better clinical recovery and shorter duration of hospitalisation (ref 109) COVINTOC: no effect on time to clinical improvement (ref 110) EMPACTA: reduced progression to mechanical ventilation or death, no effect on survival (ref 111) RECOVERY: increased survival (ref 99) REMAP-CAP: increased number of organ-support free days (ref 100) REMDACTA: no effect on time to hospital discharge (ref 112)	
	Siltuximab	COV-AID: no effect on time to clinical improvement (ref 107)	
4. Gasdermin D	Disulfiram	No published trials (registered: NCT04485130, NCT04594343)	Not available
	Dimethyl fumarate	No published trials (registered: NCT04381936)	Not available

504
 505 *ICU: intensive care unit ; RCT: randomised controlled trial.*

506

507 Taken together, a deeper understanding of the immunopathogenesis of COVID-19 might help
508 us to explain the inconsistent results of trials in COVID-19 patients with cytokine and
509 inflammasome blockade, and to identify additional therapeutic targets, as well as biomarkers
510 that predict outcome and treatment responses. So, research in *in vivo* models of SARS-CoV-
511 2 infection are urgently needed to unravel the immunopathogenesis of severe COVID-19. A
512 thorough understanding of the immunopathogenesis of severe coronavirus infections, might
513 not only be important to reduce morbidity and mortality of the current COVID-19 pandemic,
514 but also of future coronavirus outbreaks⁴⁶.

515

516 **Conclusion**

517 Taken together, reduced type 1 interferon responses, together with excessive inflammatory
518 cytokine and chemokine production, might be the drivers of severe COVID-19.
519 Inflammasomes, especially the NLRP3 inflammasome, are contributing to the exuberant
520 cytokine production, yet in early stages of SARS-CoV-2 infection they might be crucial to limit
521 viral replication and consequent tissue damage. However, these findings need to be validated
522 in *in vivo* models of SARS-CoV-2 infection. The most recent results of the SAVE-MORE trial
523 call for optimism of anakinra blockade in COVID-19, yet results from COV-AID temper this
524 optimism, suggesting that IL-1 and inflammasome inhibition will not be the wonder drug for all
525 patients with severe COVID-19. A thorough understanding of the immunopathogenesis of
526 severe COVID-19 is key to not only develop personalized targeted therapies, but also to
527 identify biomarkers that predict disease outcomes and identify the correct time window when
528 these therapies might be most beneficial.

529

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534

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