Epistemic modality, particles and the potential optative in Classical Greek

Ezra la Roi
Vrije Universiteit Amsterdam
ezralaroi@gmail.com

Abstract

This paper challenges the commonly held view that the Classical Greek potential optative has a subjective epistemic semantics, the result of a conceptual confusion of subjectivity and epistemic modality inherited from our standard grammars. I propose that this view becomes less convincing when the optative’s unique interaction with the subjective particles ἦ and ἄρα is incorporated into the analysis. Rather, the potential optative has a non-subjective epistemic semantics presenting an epistemic judgment as interpersonally accessible to the conversational participants. Frequencies of combination with ἦ and ἄρα, linguistic tests for subjectivity on the potential optative, and contrastive contextual analyses corroborate this view.

Keywords


1 Introduction

Currently, the domain of Ancient Greek particles persists as a favoured object of research among Ancient Greek linguists, leading to a steady decrease in the number of unanswered questions that the particles raise.1 Nevertheless, a question that in my view has been somewhat left unexplored with regard to the particles is how they interact with other linguistic domains such as tense, aspect,
modality and mood. This lack may be due to the influence of Denniston’s seminal work (especially for modality and mood); for despite his maximalist description of the particle’s uses, he did not distinguish among different uses with mood types or among specific uses of moods. Recently, two fundamental improvements to Denniston’s approach have been suggested: Thijs (2017) has convincingly argued that a distinction between uses in non-assertive and assertive speech acts is relevant for the functional description of the Ancient Greek particle μήν, and Revuelta Puigdollers (2017: 24) has suggested that particles “mark or modify both the illocutionary force and the modality expressed by the clause.” He also summarized the compatibility of particles with specific sentence types. This paper proposes to take these ideas one step further by arguing that the way that certain particles combine with specific mood uses can tell us more about that mood use. More specifically, this paper will highlight the unique contribution of the two subjective particles ἦ and ἄρα to the identification of the semantics of the potential optative in Classical Greek. I chose these particles because of their remarkable distribution with the potential optative. Currently, the difference in meaning of the potential optative when it is and when it is not combined with subjective particles such as ἦ (and ἄρα) is still to be explained; see example (1):

(1) Orestes:

έλθὼν δὲ δὴ πῶς φονέας ἄν
come.PTCP AOR.NOM.SG PTC PTC how.Q murder.ACC.PL PTC
κτάνοι πατρός
kill.OPT.AOR.3SG father.GEN.SG

2 To illustrate, no article in the collections of Rijksbaron (1997) or Logozzo & Poccetti (2018) discusses the interaction of these domains. However, recent attempts to investigate the interaction of particles with mood/modality are Revuelta Puigdollers (2017) and Tronci (2017). See Allan (2009; 2013b) for the relevance of particles in distinguishing different text types and modes of narration. Finally, Denizot (2011: 82–86) briefly discusses particles that are susceptible to occurring with directives, but she does not perform an in-depth assessment of their influence on the meaning of the co-occurring mood.

3 Throughout I use the term ‘potential optative’ to refer to an optative mood form in a main clause (most often combined with the particle ἄν) that receives an interpretation of potentiality.

4 Another reason is that the potential optative has been systematically disregarded by Denniston (1954). He only discusses wishes separately, treating potential optatives under the same headers as indicatives. Furthermore, the wish optative also occurs with both particles, suggesting that it has a similar non-subjective epistemic meaning, but this matter lies outside the scope of this article and is discussed in la Roi forthc.
Electra: 
τολμῶν ὑπ᾿ ἐχθρῶν ὤ̣ ἐτολμήθη dare.PTCP.PRS.NOM.SG by enemies.GEN.PL what endure.AOR.3SG ἦ̣ πατήρ. father.NOM.SG

Orestes: 
ἡ καὶ μετ᾿ αὐτοῦ μητέρ᾿ ἄν τλαίης PTC also with he.GEN.SG mother.ACC.SG PTC dare.OPT.AOR.2SG κτανεῖν; kill.INF

Electra: 
ταὐτῶι γε πελέκει τῷ πατήρ ἀπώλετο. the.same.DAT.SG PTC axe.DAT.SG the.DAT father.NOM.SG die.3SG.AOR

Orestes: But if he does come, how would he kill his father’s murderers? 
Electra: By showing the same boldness his enemies once showed. 
Orestes: Surely you would also have the hardihood to kill your mother with his help? 
Electra: Yes, with the same axe with which my father met his death!5 (E. El. 274–277)

The particles ἦ and ἄρα have been, I think, convincingly classified as markers of subjective semantic meaning by Allan (2015) using the elaborate, hierarchically layered organization of the clause from Functional Discourse Grammar.6 Consequently, these particles can tell us something about the semantics of the moods with which they occur. However, the semantics of the potential optative has been commonly characterized as subjective, as well (e.g., Drummen 2013: 74). An alternative explanation would thus be desirable. 

The remainder of this paper is devoted to outlining a non-subjective approach to the semantics of the potential optative to offer such a potential explanation. As I emphasize further on as well, my proposal thus only concerns the semantics of the potential optative, not the many pragmatic values of utterances with the potential optative. After examining the previous litera-

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5 I used the most recent OCT-editions for the texts in this article. My translations are based on the most recent Loeb translations. 
6 For Functional Discourse Grammar, see Hengeveld & Mackenzie (2008), and for a diachronic version Allan (2018).
ture on the potential optative and the particles found with it (Section 2), I detail a non-subjective approach to epistemic modality (Section 3), and the potential optative in particular (Section 4), which can account for the combinations with these particles as well. Subsequently, I present three types of support for this approach: (1) the low frequency of the potential optative combining with the subjective particles ἦ and ἄρα (Section 5); (2) linguistic tests for subjectivity on the potential optative (Section 6); and (3) contextual analysis of the potential optative with ἦ and ἄρα and without them (Sections 7 and 8). Section 9 presents the conclusions and avenues for further research.

2 Previous literature on the potential optative and particles

There are quite a few methodological dangers in using our standard grammars for the synchronic linguistic analysis of moods. Most analyses in these grammars propose a general characterization of the potential optative’s value that covers an enormous diachronic period of Greek. For example, Kühner-Gerth’s characterization of the potential optative is intended to hold for Homeric Greek, Classical Greek and post-classical Greek, apart from some changes that are discussed. To account for differences in value, these grammars list uses. Although these uses provide insights into the pragmatic functions of utterances with the potential optative, they obscure the relationship between the semantics and the pragmatics of the potential optative itself. Such uses do not specify which semantic meaning as opposed to pragmatic meaning the optative mood form codes as potential optative. Needless to say, not observing the (later) distinction between semantics and pragmatics is not something that can really be held against traditional grammars such as Kühner-Gerth’s, but we should be duly aware of their different approach. Another drawback of these grammars is that they still thought of modality and mood as fundamentally subjective categories, a view which has been retained until now.

7 Also, Kühner & Gerth (1904: 231) and Goodwin (1867: 291) treat post-Homeric poetry and Homer under the same header as poetry, as opposed to prose, thus generalizing over genre instead of diachronically different types of Greek.
8 The description inspired by Functional Grammar by Revuelta Puigdollers (2005) offers a promising start, as it more systematically separates semantic from pragmatic values with the moods.
9 E.g. Kühner & Gerth (1904: 201). Schwyzer & Debrunner (1953: 303) are slightly more nuanced.
10 See the EAGLL chapter on Mood and Modality by Ruiz Yamuza (2014: 456), who contends that any expression of modality seems to be subjective. A different but related approach is
This paper, however, will follow recent general linguistic studies that have falsified this assumption.\footnote{Allan (2013a: 10), who interprets the Ancient Greek moods as so-called grounding predications, speaker-oriented modal expressions that locate state affairs relative to the speaker and hearer and their spheres of knowledge.}

As for particles, the grammars have so far only dealt with explaining the problematic distribution of the particle \( \dot{\alpha} \nu \) with the potential optative. Although there are Classical Greek potential optatives without \( \dot{\alpha} \nu \) in metrically and non-metrically conditioned texts,\footnote{Especially Narrog (2012: 13–45). He critically discusses almost all takes on subjectivity that have been implemented in linguistics and why it should be kept separate from modality and mood.} Kühner & Gerth claim that in Attic, \( \dot{\alpha} \nu \) is as a rule rightly added to texts by editors when a main clause optative with a potential meaning lacks \( \dot{\alpha} \nu \). Still, we know that non-Attic Greek did not observe this rule (Slotty 1915: 83–84), leaving the precise function of \( \dot{\alpha} \nu \) controversial.\footnote{Oddly enough, Kühner & Gerth (1904: 245), or that it is a stylistic feature (Bers 1984: 117–142).} Recently, Zingg (2017) has argued that \( \dot{\alpha} \nu \) was added to the future in Classical Greek,\footnote{Cf. Crespo (1984), who showed how editors have, in a structural fashion, removed \( \dot{\alpha} \nu \) from its occurrence with the infinitive even after verbs of saying and thinking.} which in my view makes it worth considering that the particle has multiple functions dependent on the mood it occurs with.\footnote{Perhaps the different functions all in some way relate to the more general characterizations that have been offered in the literature to cover all its uses, e.g., Gerö (2000) or Allan (2015).} After all, \( \dot{\alpha} \nu \) is said to be obligatory with the counterfactual indicative in the main clause (Rijksbaron 2006: 7) whereas it is not fully obligatory with the potential optative in the main clause, pointing to a difference in status for both combinations.

More recently, Ruiz Yamuza (2000) has attempted to describe the combination of the subjective adverbs \( \tau \acute{\alpha} \chi \alpha \) and \( \iota \varsigma \omega \varsigma \) with the Classical Greek moods (including the optative) within a Functional Grammar framework. While I share her view that the adverbs can occur with both subjective modalities and objective modalities, I have several objections to the way she develops her argument. First of all, in her sketch of the Classical Greek mood system she claims that the potential optative expresses both possibility and probability, instead of possibility only (Ruiz Yamuza 2000: 238) as is more generally accepted (Crespo 1992: 296–299; Allan 2013a: 31). This classification confusingly aligns the potential optative with the future indicative, which is, in its use for predic-
tions, epistemically subjective in nature and different in use (Allan 2017b: 53). Secondly, she argues—wrongly, in my view—that every combination of “these modality adverbs and the optative mood, future tense or the subjunctive mood are harmonic combinations, since both the adverbs and these moods express the same grade of factuality: either probability or possibility” (Ruiz Yamuza 2000: 242; also 2014: 457). Harmonic combinations of epistemic judgments can, however, only be the case when the two modal elements share the same modal strength (Hengeveld & Mackenzie 2008: 174; Lyons 1977: 807). As I argue later in this paper, the potential optative is non-harmonic with subjective markers because it has a different modal strength as non-subjective modality. Thirdly, she applied tests that had been used in Functional Grammar at the time to distinguish between subjective and objective modality types, but those tests have since been abandoned. Naturally, she cannot be blamed for this, but it does bear on the validity of her findings, as, for example, alleged oddities in behaviour are not, in fact, oddities.

After her, Denizot (2011) has shown how a speech-act-theoretic approach provides insights for the description of the pragmatic functions of moods (including the optative). Nevertheless, due to her focus on the pragmatic side of moods, I do not agree with her semantic characterization of the potential optative, that is, as an instance of so-called alethic modality (Denizot 2011: 409–419). The category of alethic modality originated from modal logic and is used to refer to what is marked as logically true in the world. She took this from what seems to me a quite outdated tripartition of the modal domain in alethic, deontic and epistemic modality (Denizot 2011: 31, from Gardes-Tamine 1987). First of all, epistemic modality is defined there as inherently subjective, a position that I argue against in Section 3. Second, it had already been suggested that the notion of alethic modality creates a false linguistic distinction since “there is no distinction between (…) what is logically true and what the speaker believes, as a matter of fact, to be true” (Palmer 1986: 11). Third, I think that alethic modality should only be considered as a label if there are many strong arguments to support it, because alethic modality is rarely grammatically coded (Narrog 2012: 6), and because in linguistic analysis the notion is “hardly ever used” (Nuyts 2006: 9).

The most recent proposal for the potential optative in Classical Greek has been Drummen (2013), who adopted a Construction Grammar approach to pin-

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17 For example, she gives an instance of ἵσως in focus to show that it does not meet an older requirement from Functional Grammar of focus status for higher layer operators, but this test has already been abandoned.
18 This book is mentioned in her bibliography.
point the relationship between the many different interpretations of the potential optative. Unfortunately, Construction Grammar assumes no difference between semantic and pragmatic meaning in their classification of constructions (Drummen 2013: 72–73). As a result, Drummen’s classification defines a set of uses (much like the grammars before her) which mix semantics and pragmatics, although admittedly with specific linguistic characteristics per use. She claims that the link between all uses are due to both form and meaning. The formal part consists of the optative mood and the particle ἄν, even though instances without ἄν occur in her corpus, as well as in Classical Greek in general. The supposed meaning link is “epistemic possibility” since the potential optative in all its related constructions expresses that it “is possible (according to the speaker) that the state of affairs obtains” (Drummen 2013: 38; my italics). Besides theoretical objections to her approach already mentioned above, I would like to discuss two problems in more detail, because they concern relevant aspects of the potential optative that feature in the remainder of this article. First of all, the attempt to identify her “epistemic possibility” as the shared meaning across all constructions is not carried out consistently. On the one hand, she also claims that the combination of the potential optative with τάχα, ἵσως and πού is harmonic (Drummen 2013: 77). As discussed above, these elements, do not have the same strength and subjectivity, making them non-harmonic. On the other hand, this explanation conflicts with her proposal for the shared meaning of (subjective) epistemic possibility because she claims that the epistemic value of the potential optative “has scope over the whole proposition” (Drummen 2013: 74). As I argue below, proposition scope is a trait which is confined to proper subjective epistemic modalities and is therefore not a trait possessed by the non-subjective epistemic modality of the potential optative.

My second point of disagreement relates to her claim that the potential optative can have the epistemic possibility meaning with proposition scope at the same time as a non-epistemic participant-oriented possibility meaning with non-propositional scope. In my view, this is unattractive from a theoretical

19 The particle πού, in my view, is not subjective but modifies an illocution, as suggested by Allan (2015: 14).
20 Drummen (2013: 75; and in-depth at 80–89). ‘Participant-oriented possibilities’ is a term she herself coined to encompass “inherent abilities (participant internal abilities) as well as circumstantial conditions (participant external possibilities) enabling or disabling a participant to engage in a state of affairs.” Note that Drummen here reworks the idea promoted by Willmott (2007) for the Homeric Greek potential optative having a dynamic modal meaning for Classical Greek (Drummen 2013: 70).
point of view because two types of modality do not exist in one marker with different scopes at the same usage time. Rather, I suggest that such participant-oriented nuances are the result of contextual inference and not part of the semantics of the optative, as becomes clear from the use of capacity verbs in the optative (e.g., δυναίμην) instead of the indicative.\(^\text{21}\)

To summarize, the lack of a clear distinction between the coded semantic and pragmatic meaning of the potential optative exists throughout the literature. The conceptual assimilation of subjectivity with moods and modality in our standard grammars has been taken over in recent literature, although recent proposals in general linguistics (especially Narrog 2012: 23–45), which I discuss in the next section, rightly reject this idea. The particles occurring with the potential optative still have many insights to offer, since previous attempts have in my view not been successful.

3 Epistemic modality versus subjectivity

In this section, I demonstrate why epistemic modality should be kept separate from subjectivity. Epistemic modality is a semantic category which should be defined as concerning “an indication of the estimation, typically, but not necessarily, by the speaker, of the chances that the state of affairs expressed in the clause applies in the world” (Nuyts 2006: 6, my italics).\(^\text{22}\) In other words, epistemic modality is applicable “when the degree of compatibility (or overlap) between the modal world and the factual world is at stake” (Declerck 2011:33).

Linguistic subjectivity ought rather to be measured through a set of factors with performativity as its primary quality. Performative expressions qualify “a proposition with respect to the current speech situation (including speaker and hearer)”, whereas non-qualifying expressions are seen as descriptive (Narrog 2012: 42).\(^\text{23}\) Subjectivity cannot be associated in a strictly categorical fashion with a specific word class (such as adverbs\(^\text{24}\)), since linguistic items that

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\(^{21}\) Ruiz Yamuza (2014: 456) discussed this idea as suggested by Willmott (2007) for Homeric Greek and suggests this nuance is actually “context-based inference”.

\(^{22}\) See also Narrog 2012: 8. Both Nuyts (2006) and Narrog (2012) deliberately steer away from the trap of defining epistemic modality solely in subjective epistemic terms, as do Bybee et al. (1994: 179) and Allan (2013a: 4).

\(^{23}\) Narrog’s definition closely mirrors the formulation by its inventor, Nuyts (2001: 39) but does not suggest an association with epistemic forms only. Also, it allows for a more gradual view as a scale of performativity by not suggesting that performative expressions always express full commitment by the speaker (as for example Nuyts 2002: 446).

\(^{24}\) For Ancient Greek adverbs, see Ruiz Yamuza (2006) and Dik (2014).
express a speaker’s subjective attitude toward the state of affairs belong to different word classes.\textsuperscript{25} Moreover, the same linguistic item can express subjective meanings as well as non-subjective meanings depending on the context, as witnessed by the possibility of a non-subjective deontic and a subjective epistemic meaning for \textit{must}.\textsuperscript{26}

Besides the core notion of speaker-oriented commitment, subjectivity has an important second dimension of evidence accessibility. That dimension concerns the question of whether the expression “in a specific context expresses a judgment which is based on evidence and/or values that are only accessible to the speaker” (Narrog 2012: 43). By contrast, the expression of a judgment “based on evidence and/or values that are accessible or shared by a community of speakers” is less subjective.\textsuperscript{27} In Classical Greek, for example, a statement which is marked by inferential \textit{ἄρα} indicates that the speaker bases the validity of his/her statement on his/her subjective inference (Allan 2017b). Finally, the current approach to subjectivity asks for close examination of the context, the place that can show us how a subjective statement relates to currently held views and knowledge.

Distinguishing among different types of epistemic modality comes down to the \textit{scope} of the modal expression. In contrast to non-subjective epistemic modalities, subjective epistemic modalities have scope over a proposition, such as epistemic ‘must’ in the sentence \textit{John must have forgotten the meeting}.\textsuperscript{28} Here \textit{must} expresses the speaker’s commitment to the idea that the modal and factual world overlap, that is, that the state of affairs that John has forgotten the meeting is the case. With a non-subjective epistemic modality such as \textit{may} in \textit{He may have forgotten}, the speaker’s commitment is absent, and \textit{may} does not have propositional scope as it is part of the state of affairs expressed. As a result, speakers can make the conscious choice to add items with propositional scope to \textit{may}, as in \textit{certainly, he may have forgotten}. Components with propositional scope may be expressed not only by a modal expression but

\textsuperscript{25} See Narrog (2012: 31) and de Smet & Verstraete (2006).
\textsuperscript{26} Admittedly, there is a diachronic trend that more subjective meanings are the more grammaticalized ones, since grammaticalization and subjectification tend to go hand in hand. For this phenomenon, see Allan (2013a) and the references cited there.
\textsuperscript{27} Narrog’s definition of this dimension is inspired by Nuys’ frequent definitions of ‘subjectivity’ as an evidential dimension (i.e., the degree to which the speaker is responsible for the evidence of the assessment).
\textsuperscript{28} Several theories of grammar acknowledge a non-subjective type of epistemic modality, such as Functional Grammar by Dik (1997) and Functional Discourse Grammar by Hengeveld & Mackenzie (2008), which have inspired this article. For an overview of functional theories, see Butler (2003).
also by subjective adverbs (τάχα and ἵσως) and particles (ἠ and ἄρα). In such combinations, the expression has an identifiable non-subjective and subjective component whose use is contextually determined. When a subjective epistemic modality occurs with ἥ or ἄρα, both are harmonic because they express the same modal strength. Consequently, they strengthen each other much like He truly must be joking. However, when a non-subjective modality combines with ἥ or ἄρα, they are non-harmonic, which makes the subjective particles scope over them much like He certainly may have forgotten. This difference becomes relevant to understanding the low frequency of combination of the non-subjective potential optative with subjective particles.

4 Alternative approach to the semantics of the potential optative

As I now argue, the combinations of the Classical Greek potential optative when combined with ἥ and/or ἄρα make up a complex combination in the same way that may and certainly do. The non-subjective epistemic character of the potential optative is that it presents a judgement as interpersonally accessible to the addressee(s), that is, epistemically shared between the speaker and addressee(s). For this proposal, I adduce four types of support in the following sections. First, I discuss the contextual semantics of the potential optative in examples to clarify my proposal. Second, I show that the low frequency of combination of the potential optative with the subjective particles supports this proposal. Third, I apply linguistic tests for subjectivity to the potential optative that point out that it is semantically non-subjective. Finally, an analysis of contrastive contexts with and without ἥ and/or ἄρα demonstrates that ἥ and/or ἄρα are added as a subjective component to the non-subjective potential optative for social or rhetorical reasons. Let us first consider some examples of the potential optative on its own.

In the following example, Calonice presents her judgement as something that everyone present knows is possible, since it concerns something that

29 The classification of the Ancient Greek particles by Allan 2015 distinguishes between grammatical expressions (operators) such as modalities, tense or particles, and lexical expressions such as adverbs (modifiers).

30 This conception of the epistemic value of the optative in Classical Greek has the benefit of relating to the value of the optative in reported speech proposed in the literature. As has been discussed by van Rooy (2016: 34–37) and several others before him, the optative in reported speech also marks lack of speaker commitment: “as far as it signals that the speaker/narrator in the hic et nunc moment of speaking/narrating does not commit himself to the information expressed by others or by himself in past contexts.”
everybody knows on the basis of their general knowledge of the world. Thus, the potential optative expresses that the conversational participants know that it is possible that such a big wine jug makes merry, instead of Calonice stating that she personally strongly believes that the wine jug will make merry.

(2) Myrrhine:

\[ \omega \ \phi\textit{iltat\textit{ai} \; \gamma\nu\nu\alpha\kappa\varepsilon\varsigma, \; \delta \; \kappa\varepsilon\rho\alpha\mu\epsilon\omega\varsigma \; \delta\varsigma.} \]

\textit{voc dearest.NOM.PL ladies.NOM.PL the.NOM jug.NOM.SG what.Q}

Calonice:

\[ \tau\alpha\upsilon\tau\eta\nu \; \mu\epsilon\nu \; \alpha\nu \; \tau\iota\varsigma \; \epsilon\upsilon\delta\varsigma \; \eta\sigma\theta\epsilon\iota\eta \]

\textit{this.ACC PTC PTC someone.NOM at.once become.happy.OPT.AOR.3SG touch.PTCP.AOR.NOM.SG}

Myrrhine: Dearest ladies, what a jumbo jug!

Calonice: Just touching this \textbf{would make} a person \textbf{merry!} (Ar. Lys. 200–201)

Because the potential optative is used to present a judgment as epistemically accessible to speaker and hearer, it shows a preference to occur in generalizing statements which the hearers are presumed to be aware of. For example, of the 340 uses of indefinite \textit{τις} in Aristophanes in main and subordinate clauses, 50 occurrences have the potential optative, whereas it combines with the future indicative only 19 times to make indefinite future predictions. Similarly, in the next example the optative in the question does not mark subjective commitment to the state of affairs that women do something, but rather asks about a presupposed possibility. Lysistrata in the preceding sentence made this possibility interpersonally accessible by saying that the women are able to save Greece.

(3) Lysistrata:

\[ \kappa\omicron\nu\nu\eta \; \sigma\varphi\omicron\sigma\omega\omicron\mu\nu \; \tau\eta\nu \; \textit{Ε\ell\lambda\alpha\delta\alpha}. \]

\textit{together rescue.IPL.FUT the.ACC Hellas.ACC.SG}

Calonice:

\[ \tau\iota \; \delta' \; \alpha\nu \; \gamma\nu\nu\alpha\kappa\varepsilon\varsigma \; \phi\rho\omicron\nu\iota\mu\omicron\nu \; \epsilon\rho\gamma\alpha\sigma\alpha\epsilon\iota\alpha\tau\omicron \; \eta \]

\textit{what.Q PTC PTC women.NOM.PL prudent.ACC.SG do.OPT.AOR.3SG or lambda\rho\omicron\nu; \alpha\iota \; \kappa\alpha\theta\omicron\mu\epsilon\theta \; \epsilon\delta\gamma\nu\theta\iota\omicron\iota\omicron\epsilon\nu\iota\nu\epsilon\nai, bright.ACC REL.NOM.PL sit.PRS.IPL look.pretty.PTCP.PRS.NOM.PL}
κροκωτοφοροῦσαι καὶ κεκαλλωπισμέναι καὶ wear.saffron.PTCP.NOM.PL and wear.make-up.PTCP.PRF.NOM.PL and κυμβερίκ’ ὅρθοστάδια καὶ περιβαρίδας Cimberic.ACC gown.ACC.PL and pleasure-boat.slippers.ACC.PL

Lysistrata: together we’ll be able to rescue Greece!
Calonice: But what would mere women do that’s intelligent or illustrious? We sit around the house looking pretty, wearing saffron dresses, and make-up, and Cimberic gowns, and pleasure-boat slippers. (Ar. Lys. 41–45)

The non-subjective epistemic semantic characterization is also more attractive for the use of the potential optative in emphatic refusals below, provided that the semantic and the pragmatic force of the potential optative are kept separate.

(4) Lysistrata:
ποιήσετ ἢ οὐ ποιήσετ; ἢ τί μέλλετε; do.FUT.2PL or not do.FUT.2PL or why.Q delay.PRS.2PL

Calonice:
οὐκ ἂν ποιήσαιμ; ἀλλ’ ὁ πόλεμος ἐρπέτω. not PTC do.OPT.AOR.1SG but the.NOM war.NOM.SG drag.on.IMP.3SG

Lysistrata Will you do it or not? What are you waiting for?
Calonice Count me out; let the war drag on (Ar. Lys. 127–129)

Later on in the play the women reject Lysistrata’s proposal to perform a sex strike. The choice for the potential optative by Calonice is, in my view, made in order to present the impossibility of Calonice’s participation more strongly, that is, as unnegotiable. In my view, its semantic value should be paraphrased as: ‘as you (and I) know, it is not possible that I would do that’. The reason that the interlocutors know that especially Calonice would not be able to give that up is that she has been complaining from line 102 onwards about how she misses her husband and sex more in general, since lovers have also abandoned her. With this, the other women agreed. Thus, presenting something as semantically impossible (as everybody knows) is rhetorically strong and contributes to the strong pragmatic refusal value of the utterance as a whole here.
5 Frequency

The comparative frequency of ἦ and ἄρα with specific mood types also argues against an explanation of the potential optative as subjective epistemic, because the potential optative occurs markedly less often with these subjective particles than other more subjective moods do. After all, if the potential optative actually were subjective epistemic, an affinity in combination with these subjective particles would be expected. However, subjective uses of the future in harmonic combinations with subjective particles are considerably more frequent than the non-harmonic combinations of the non-subjective potential optative with subjective particles. To provide a diverse sample of the frequency of combination, I measured the occurrence of the optative combinations in different genres, in particular comedy (Aristophanes), tragedy (Euripides) and philosophical dialogue (Plato).31

As shown in the table, the subjective particles in general strongly prefer to occur with the indicative mood over the potential optative in every writer and occur about twice as often with the future indicative in a subjective use. Also, the data on the future indicative for the Platonic tests is even somewhat skewed by the fact that the future indicative in Plato had acquired a further subjective use (called “logical-inferential” by Bakker 2002), which made combination with these subjective particles less necessary.32

To underline the fact that combinations of the potential optative with the subjective particles are quite a marked option, I compared the combinations with ἦ and ἄρα to the frequency of non-combined potential optatives. In five plays of Aristophanes the potential optative occurs 106 times in total, whereas it combines with ἦ/ἄρα only once.33 Compared to an average of 1431.8 lines per comedy,34 the potential optative thus occurs rather sparingly, as non-combined potential optatives occur in 1.48% of the lines in a play compared to 0.01% for the combination with ἦ and ἄρα. This makes it highly unlikely that the potential...

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31 I distinguish between the non-future indicative and the future indicative because most of the future indicative’s uses are inherently subjective, as when used with these particles (Allan 2017b: 51–57). The same preference applies to collocation with subjectively used modal verbs, such as κινδυνεύω in Pl. Euthphr. i1d. Another reason to contrast the frequency with the indicatives is that the particles occur only extremely rarely with the subjunctive, that is, ἦ before a dubitative subjunctive or a subordinate clause and ἄρα in conditional or subordinate clauses with a subjunctive or with an adhortative subjunctive. See, respectively, E. Or. 787, Pl. Ap. 376b, Pl. R. 389d, Hp. Ma. 239e and Tht. 205e.
33 Based on Ar. Ra., Lys., Ec., Av. and Pax.
34 This average is based on the following numbers: 1533+1321+1183+1357+1765=7159/5=1431.8.
Table 1 Collocation of ἦ and ἄρα with moods

<table>
<thead>
<tr>
<th>Mood</th>
<th>Aristophanes</th>
<th>Euripides</th>
<th>Plato</th>
<th>Total</th>
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<tbody>
<tr>
<td>Potential optative+ ἄρα</td>
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<tr>
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<td>91</td>
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<td>544</td>
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<tr>
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<tr>
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<tr>
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<td>2</td>
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<tr>
<td>Future indicative+ ἦ</td>
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<tr>
<td>Non-future indicative+ ἦ</td>
<td>41</td>
<td>73</td>
<td>81</td>
<td>195</td>
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</table>

Texts transmitted as fragments and Plato’s spurious works are left out. Please note that the number of total potential optatives was counted by hand, whereas the total of future indicatives without ἦ and ἄρα was calculated by subtracting the total of combinations of the future indicative with ἦ and ἄρα from the total of future indicatives found in a Perseus search under Philologic.

optative has a subjective epistemic semantics. Rather, the numbers suggest that the potential optative has non-subjective epistemic semantics, which explains its lack of affinity in combination with subjective particles such as ἦ and ἄρα.

6 Linguistic tests for subjectivity

Now I will apply tests for the subjectivity of modal markers to the potential optative. The following formal and distributional properties of linguistic items make a non-subjective (descriptive) reading of a marker of epistemic modality more likely:35

1. The possibility of past marking
2. The possibility of negation
3. The possibility of subjecting the modalized clause to interrogation
4. The possibility of using it in the protasis of conditionals
5. The scopal relationship in double modal marking

These tests come from Lyons (1977: 799) and Hengeveld (1988: 236–240) and are critically discussed by Narrog (2012: 31–39). I rearranged their original order to the order of discussion and left out the test of ‘questioning the source of the information’, which allegedly is infelicitous with subjective modal markers because of the critiques mentioned in Narrog (2012: 36).
The first property points to the fact that past marking on the modal item “removes the judgment deictically from the speaker’s present point of view, since the time of the judgment is explicitly associated with a point in time different from the time of speech, and the past point of view does not necessarily coincide with the present one.” The judgment in the following example thus is not made about the current speech situation but it is more objectively made in the past.

(5) Given that nobody had left the ship, the murderer still had to be around.

The Classical Greek optative lacks such a past temporal value. However, the potential optative does have a linguistic association with the past in that it is licensed after past indicatives in the main clause (Rijksbaron 2006: 51–54) in many types of subordinate clauses, for example, of reported speech, indirect questions or causal clauses. A suggestion that might be relevant from a diachronic perspective is that the secondary endings of the optative imply epistemic distance (Allan 2013a: 41), which could perhaps point to the optative’s descriptiveness.

In a similar way, immediate negation of a modal marker “indicates a distance between the judgment expressed in the modal marker, and the actual speaker’s judgment at the time of speech” (Narrog 2012: 34). In other words, if both tense and negation can modify a modal marker, it is likely that this marker has a higher degree of event orientation, meaning that the modal value marks conditions on the event instead of the speaker’s evaluation of that event (Narrog 2012: 34, 51). Lanski (2013) has convincingly shown that the negation with a potential optative negates the potential value (as in the paraphrase of example 4) which therefore points to a higher level of descriptiveness for the potential optative.

The third testing property is based on the fact that it is difficult to combine questioning with a subjective assessment, as below:

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36 Narrog (2012: 32) gives example 5 and some additional examples from Japanese.
37 Relatedly, the optative in oblique sentences in Classical Greek can be marked by relative tense by the future pointing to a more descriptive value for the optative mood. For the future optative, see Martínez Vázquez (1995) and de la Villa (2017).
38 De Haan (2006: 52–56) notes that the negation criterion can be difficult to apply since every language has widely different idiosyncratic rules for negation, and negation markers are sometimes selected for constructions without clear reasons via suppletion.
39 It is, however, not impossible, since we see ἦ and ἄρα in questions with the potential optative coding subjectivity.
The insertion of a subjective modal item in a question can make it lose its subjective value and now reflects a non-subjective viewpoint, echoing the viewpoint of speaker A.\(^{41}\) We know that the potential optative actually can be used without limitations in questions.\(^{42}\)

The fourth property states that the use in a conditional is denied to a subjective marker, since it cannot present a performative assessment because the conditional is functionally opposed to it as a marker of absence of commitment (Verstraete 2004: 251). The use in a conditional would have somewhat infelicitously questioned a marker of commitment, as in the following example. The subjective marker consequently becomes echoic:

(7) A: In my view Socrates must be the wisest man alive.
   B: If he must be the wisest man alive, why are they going to kill him then?

‘Must’ now echoes the subjective assessment by speaker A and cannot belong to speaker B. The potential optative has no problem occurring in conditionals and is probably more descriptive on the basis of this property, because it is said that in conditionals it “presents the realization of the condition as just possible and no more than that” (Wakker 2013; Ruijgh 1971). To sum up, the first four tests for subjectivity point out that the potential optative is not subjective epistemic in value. As will become clear in the following sections, the fifth test demonstrates the scope of \(\varepsilon\)/\(\alpha\) over the non-subjective potential optative. In other words, the test of double modal marking will demonstrate that the combinations of the potential optative with \(\varepsilon\) and \(\alpha\) are non-harmonic, since the potential optative of a non-subjective modal strength.

7 The combination with \(\varepsilon\)

I see \(\varepsilon\) as a strongly speaker-oriented particle expressing “a high degree of speaker commitment”,\(^{43}\) marking ‘personal commitment of the speaker to the

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40 Narrog (2012: 35).
41 For this echoing phenomenon, see Verstraete (2004: 249–250) and Narrog (2012: 35).
42 Cf. Rijksbaron (2006: 5–9) and e.g., E. Ba. 945.
43 Although I do agree with Bonifazi et al. (2016: 3.2.2) that the particle \(\varepsilon\) involves speaker involvement, I would not say that its core value \(\varepsilon\) is expressive, but rather epistemic.
validity of the utterance”. In Allan’s classification, this particle in my view rightly belongs to the layer of propositional content on the semantic (representational) level. Evidence for this classification is that it scopes under questions, whereas (interactional) particles on the pragmatic (interpersonal) level do not. I argue that the particle is added to the potential optative when a speaker wants to mark his/her commitment to an accessible possibility for rhetorical or social reasons, as in the following example:

(8) Strepsiades:

σάλπιγξ ὁ πρωκτός ἐστιν ἃρα τῶν
bugle.NOM.SG the.NOM anus.NOM.SG to.be.PRS.3SG PTC the.GEN.PL
ἐμπίδων. ὃ τρισμακάριος τῶν διεντερεύματος.
gnat.GEN.PL VOC thrice.blessed.VOC the.GEN.SG acuteness.GEN.SG
ἡ βαδίως φεύγων ἀποφύγοι
PTC easily escape.PTCP.PRS.SOM.SG escape.OPT.AOR.3SG
dίκην, ὃστις διοίκε τούντερον τῆς
correction.ACC rel.NOM.SG know.PRF.3SG the.inside.ACC the.GEN.SG
ἐμπίδως.
gnat.GEN.SG

Strepsiades: So the gnat’s arsehole turns out to be a bugle. Thrice happy man, for such penetrating enterology! As a defendant he’d certainly escape conviction, since he knows the gnat’s gut inside out. (Ar. Nu. 165–168)

Strepsiades uses the combination to acknowledge his personal commitment (ἦ) to the contextually given possibility that Socrates would escape conviction (ἀποφύγοι). The explanation for his stance-taking lies in the previous context. After his advice to his son to go to the thinking-shop (φροντιστήριον), he decided that he also wanted to acquire some knowledge himself. The first thing that the

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46 Here I changed the translation with ‘he’d certainly be able to’ to ‘he’d certainly’ because, as I mentioned, I do not want to create the impression that I think that the Classical Greek potential optative has a (non-epistemic) dynamic modal meaning, contrary to Drummen (2013: 80–89), in that it would express a participant’s capacity. Rather, the potential optative only has an epistemic semantics.
pupil in the thinking-shop shares with him is some secret knowledge received from Socrates who used wax to measure how many feet gnats use to jump from one place to another after biting someone. Importantly, the pupil qualifies Socrates’ solution as very clever (δεξιώτατα, l. 148) and Strepsiades completely agrees praising Socrates’ subtlety of thought (ὦ Ζεῦ βασιλεύ τής λεπτότητος τῶν φρενών, l. 153). This way, Socrates’ cleverness is made accessible to the conversational participants. When the pupil thereafter speaks of another clever solution by Socrates, Strepsiades reacts, in line with his previous admiration of the nonsense knowledge that he had been given, by saying that that knowledge is the knowledge to have if one wants to escape conviction (see the following relative clause). Thus, Strepsiades personally believes (η) that Socrates’ escape may be assumed by the addressee as possible.

The particle η occurs nearly as often in declarative sentences as in interrogative sentences. In questions, η is used by speakers to subjectively commit oneself to a possibility that is already interpersonally given but about which the speaker suggestively asks if the addressee thinks that this commitment is right. After cornering Socrates and Glaucon at the start of the Republic, Polemarchus threatens them that they can only leave if they outdo them.

(9) Οὐκοῦν, ἡν δ’ ἐγώ, ἤτι ἐν λείπεται,
PTC to.say.IMPF.1SG PTC I still one.NOM remain.PRS.3SG
tὸ ἴν πείσωμεν ὡμᾶς ὡς χρῆ
the.NOM.SG if persuade.SUBJ.AOR.IPL you.ACC that should.PRS.3SG
ἡμᾶς ἀφεῖναι;
we.ACC let.go.INF.AOR

Ὡ καὶ δύνασθ’ ἄν, ἡν δ’ ὃς,
PTC and be.able.OPT.PRS.2PL PTC say.IMPF.3SG PTC he.NOM
πείσαι μὴ ἀκούοντας;
persuade.INF.AOR not listen.PTCP.PRS.ACC.PL

Οὐδαμῶς, ἔφη ὡς Γλαύκων.
Certainly.not say.IMPF.3SG the.NOM Glaucon

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47 It is well conceivable that Socrates’ cleverness was proverbial, communally shared knowledge, but for the sake of explanation I leave this matter aside.

48 Following Hengeveld & Mackenzie (2008: 71), I also distinguish a propositional layer in interrogative sentences.
Polymarchus: ‘Yes, but don’t we still have the alternative,’ I said, ‘to see if we can persuade you to let us go?’

‘Would you really have any success,’ he said, ‘in persuading those who don’t listen?’

‘No, we certainly wouldn’t,’ said Glaucon. (Pl. R. 327c)

The alternative that Socrates tries to offer is thrown off the table by what Polymarchus’ statement with the optative combination implies. The question with the optative asks about the interpersonally accessible knowledge that people who do not listen cannot be persuaded, which counters Socrates’ hope that persuading them would free him and Glaucon. The subjective particle ἦ is what makes the question persuasive. The full contextual value of the question could be paraphrased hierarchically as ‘I ask (interrogative sentence type) whether I may really (ἦ) think that you would have any success (potential optative).’ Thus, the subjectivity of ἦ concerns the given possibility that Socrates and Glaucon would have a way out of their captivity.

Let us next consider contrastive contexts with and without ἦ. In his quest to revenge his father’s death, Orestes investigates, whilst unrecognized by Electra, whether she is willing to kill her mother. Therefore he asked her how Orestes would kill his father’s killers if he came home (in line 274). Importantly, the ‘how’ question presupposes that he would, showing that both are aware of the possibility that Orestes would kill his father’s killers.

(10) Orestes:

 elọ̋n δὲ δὴ πῶς φονέας ἂν come.PTCP.AOR.NOM.SG PTC PTC how.Q murderers.ACC.PL PTC χτάνοι = father.GEN.SG

Electra:

tολμῶν ὑπ’ ἐχθρῶν οἱ ἐτολμήθη dare.PTCP.PRS.NOM.SG by enemies.GEN.PL what endure.AOR.3SG ἐπαθήρτ. father.NOM.SG

Orestes:

ἠ καὶ μετ’ αὐτοῦ μητέρ’ ἂν τλαίης PTC also with he.GEN.SG mother.ACC.SG PTC dare.OPT.AOR.2SG κτανεῖν; Kill.INF
Electra:

ταὐτῶι γε πελέκει τῷ πατήρ ἀπώλετο.
the.same.DAT.SG ptc axe.DAT.SG the.DAT father.NOM.SG die.3SG.AOR

Orestes: But if he does come, how would he kill his father’s murderers?
Electra: By showing the same boldness his enemies once showed.
Orestes: Surely would you also have the hardihood to kill your mother with his help?
Electra: Yes, with the same ax with which my father met his death! (E. El. 274–277)

The subsequent potential optative enquires about Electra’s tolerance of the presupposed possibility that Orestes would kill their father’s killers when he comes (ἐλθὼν δὲ δὴ). By contrast, Orestes in this question specifies his subjective belief (with ή) that Electra would also have the strength to kill her father’s murderers, a given possibility because of her previous expressions of hatred against the killers of her father. This subtle rhetorical difference in subjectivity in turn draws out a commitment formulation by Electra in the next sentence.

Also in a contrastive context with examples slightly further apart from one another, the subtle difference in subjectivity becomes clear from the use. In example 11, Socrates has just responded to Phaedrus’ promise to recount his thought-provoking meeting with the writer Lysias by asking a rhetorical question, a question which implied that hearing the conversation was most important.

(11) Phaedrus:

πράσαγε δή.
lead.on.IMP.2SG ptc

Socrates:

λέγοις ἄν.
speak.OPT.PRS.2SG ptc

Phaedrus:

Καὶ μὴν ὃ Σώκρατες, προσήκουσά γε σοι
PTC PTC voc Socrates be.fitting.PTCP.PRS.NOM PTC you.DAT.SG
ἡ ἀκοή.
the.NOM.SG hearing.NOM.SG
Phaedrus: Lead on, then.

Socrates: Speak.

Phaedrus: Indeed, Socrates, you are just the man to hear it ...

Socrates: O noble Lysias! I wish he will write that they should be granted to the poor rather than to the rich, to the old rather than to the young, and so of all the other qualities that I and most of us have; for truly his discourse would be witty and of general utility. (P. Phdr. 227c)

Phaedrus suggests that Socrates “lead on” the conversation, but Socrates repeats that he wants Phaedrus to speak, by using the optative for a mild order (Rijksbaron 2006: 42). However, the semantics of the potential optative remain epistemic, more specifically, non-subjective epistemic. The optative signifies the conversationally given possibility that Phaedrus would speak. Its semantic value may be paraphrased as ‘as you and I know, it is possible that you speak’, which contextually receives the pragmatic implicature of a mild request. Phaedrus completely agrees and says that Socrates is just the man to hear it, because Lysias had written a speech on the theme of love, which said that favours should be granted to the one who is not in love. Socrates, however, subsequently expresses his wish that Lysias will say that the favours should be granted to the poor or the old, since those are qualities that Socrates himself and most of the people possess. He supports this wish by saying that Lysias’ discourse would then truly be witty and of general utility. The non-subjective epistemic semantics of the optative combination is clear from the previous context. It is general knowledge to Phaedrus and Socrates that the application of Lysias’ logic to the challenged persons in the world would be useful and, as witnessed by his preceding wish, Socrates subjectively marks his belief in that usefulness.
8 The combination with ἄρα (and ἦ)

The Classical Greek particle ἄρα has two semantically close components—an evidential and a mirative component—of which either one may be present or both at the same time.\(^\text{49}\) On the one hand, the particle can express inferential evidentiality, meaning that the speaker’s source of information for a statement is inference. This means that the validity of the statement relies on the speaker’s subjective deduction from perceptible evidence, earlier experiences or (logical) reasoning. On the other hand, ἄρα can signify the speaker’s subjective surprise at the new or remarkable information which s/he has been presented with. Both subjective values share that they typically concern hindsight evaluation, which can make it difficult to determine whether an example only contains one of the semantic elements or both.

Besides semantic grounds for the propositional scope of ἄρα, there is a more formal argument that supports this classification: the particle scopes under questions (as ἦ does).\(^\text{50}\)

\(^\text{49}\) I follow the definition by Allan (2015: 9) instead of Van Rooy (2016: 12–14) since Allan’s treatment is more extensive, proposes a clearer connection between the two values and does not add what is in my view an unwarranted mitigating function.

\(^\text{50}\) Oddly enough, Allan 2015 only discusses this scope relation for ἦ and not ἄρα. He does pay attention to the question particle ἄρα.
Chremes: A huge crowd of people showed up en masse at the Pnyx, an all-time record. (...) Really, the Assembly was awfully pale faced to behold. So I didn’t get anything, and a bunch of others didn’t either.

Blepyrus: So if I went there now I therefore wouldn’t get anything either? (Ar. Ec. 383–387)

As with ἦ, the scope relations of this question can be paraphrased as ‘I ask if (interrogative sentence type) whether it therefore (ἂν) is the case that, if I went there now, I would not get (potential optative) anything either’. ‘Therefore’ here signifies the subjective inference of ἂν, which Blepyrus draws from the information that Chremes gave him; that is, that the Assembly is in such a poor state that no one got anything, though people normally do get hand-outs there. Blepyrus infers that it is also pointless for him to go there. As with ἦ, the subjective assessment scopes over the interpersonally accessible possibility of getting something at the Assembly as usual.

An example of ἂν with a mirative value is in example 13, where woman B’s reaction to the proposal to grab some seats in front of the chairman causes dispute.

(13) Woman B:

ταυτί γέ τοι νή τόν Αἴαν ἐφερόμην, ἵνα
this.ACC PTC PTC PTC the.ACC Zeus.ACC bring.IMPF.1SG in.order.to
πληρομένης ξαίνοιμι τῆς ἐκκλησίας.
fill.up.PTCP.PRS.GEN.SG knit.OPT.PRS.1SG the.GEN Assembly.GEN.SG

Praxagoras:

πληρομένης τάλαινα;
fill.up.PTCP.PRS.GEN.SG stupid.NOM.SG

Woman B:

νή τήν Ἀρτεμίν έγωγε. τί γάρ ἄν χείρον
PTC the.ACC Artemis.ACC I=PTC Why.Q PTC PTC worse
ἀκρο OID ἂν ἀκρο OID ξαίνοιμα; γυμνὰ δ’
hearken.OPT.PRS.1SG PTC knit.PTCP.PRS.NOM.SG naked.NOM.PL PTC

As my analysis shows, I do not believe that it is warranted to correct ἂν to ἄν as the most recent OCT by Wilson (2007) does following Dobree’s conjecture (1833:232). The argument for this is threefold: the codices have ἂν, its value fits this situation and ἂν actually occurs twice more often in a late position in an interrogative sentence in Wilson’s text edition (Ar. Ec. 460, 668).
Praxagoras had instructed the women to go as man-like as they can to the Assembly, providing them, for example, with beards to let them go unnoticed. When woman B stupidly says that she brought a knitting basket to kill time when waiting for the Assembly to be filled, Praxagoras gets mad. Ignorant as she is, Woman B reacts with surprise at Praxagoras’s reaction who supposes that she would be able to listen whilst also knitting. Thus, we can understand that the particle here marks the speaker’s subjective surprise about the assumed given possibility that she cannot listen whilst knitting.

The non-subjectivity of the potential optative in line 90 becomes even clearer from the contrast to its occurrence without ἄρα in line 96 in Praxagora’s response to Woman B.

(14) ἰδού γέ σε ξαίνουσαν, ἴν τοῦ
See.IMP.2SG PTC you.ACC knit.PTCP.PRS.SG REL.ACC the.GEN σώματος οὐδὲν παραφῆναι τοῖς body.GEN.SG nothing.ACC show.INF.PRS the.DAT καθημένοις ἐδει, οὐκόν καλά γ’ ἀν sit.PTCP.PRS.DAT.PL ought.to.IMPF.3SG PTC nice.ACC.PL PTC PTC πάλαιμεν, ei πλήρης τούχι ó receive.OPT.AOR.IPL if full.NOM.SG happen.OPT.AOR.3SG the.NOM δήμος ὡν καπειθ’
citizens.NOM.SG to.be.PTCP.PRS.NOM and=thereafter ὑπερβαίνουσά τις climb.OVER.PTCP.PRS.SG someone.NOM ἀναβαλλομένη δείξειε τὸν hitch.UP.PTCP.PRS.SG show.OPT.AOR.3SG the.ACC Phormísion.
Phormisius.ACC.SG

Listen to you: knitting! When you shouldn’t be showing any part of your body to the men. Wouldn’t we be in a fine fix if the citizenry’s all there
and then some woman has to climb over them, hitching up her clothes and flashing her Phormisius! (Ar. Ec. 93–97)

Here Praxagoras uses the potential optative for a witty remark which the participants will understand, since they are caught up in a plan to hide their femininity.

Another indication of the epistemic accessibility of the potential optative is its occurrence in confirmatory answers.

(15) Socrates:

οὕτως, ὡς μέγιστον τῶν κακῶν
This.way that biggest.NOM.SG the.GEN evil.GEN.PL
tυγχάνει ὃν τὸ
to.be.the.case.PRS.3SG to.be.PTCP.PRS.NOM.SG the.NOM.SG
do.wrong.INF.PRS

Polus:

ἡ γὰρ τοῦτο μέγιστον; οὐ τὸ ἀδικεῖσθαι
PTC PTC this.NOM biggest.NOM.SG not the.NOM suffer.wrong.INF.PRS
μεῖζον; greater.NOM.SG

Socrates:

 hotéis γε.
not.in.the.least. PTC

Polus:

σὺ ἄρα βούλοιιο αὖν ἀδικεῖσθαι μᾶλλον ἢ
you.2SG PTC want.OPT.PRS.2SG PTC suffer.wrong.INF.PRS rather than
do.evil.INF.PRS

Socrates:

βουλέσθην μὲν ἢ ἐγώης οὔδέτερα
want.OPT.PRS.ISG PTC PTC I=PTC neither.ACC.PL

Socrates: In this, that to do wrong is the greatest of evils.
Polus: What, is this the greatest? Is not to suffer wrong a greater?
Socrates: By no means.
Polus: Then would you wish rather to suffer wrong than to do it?
Socrates: I would wish neither, for my own part. (P. Grg 469b-c)

When Polus questions Socrates’ claim that doing evil is the greatest of evils, making committers of doing evil both pitiable and wretched, Polus infers from Socrates’ stance that he would thus rather be the object of wrongdoing than the performer of it. Importantly, the possibility that he would want that is already accessible in the previous answers, but ἄρα marks that Polus subjectively applies the situation to Socrates. Socrates confirms that Polus is right to assume from the previous conversation that he would prefer it in that he uses the potential optative as well.

A final example that deserves treatment is the extremely rare combination of the potential optative with both ἄρα and ἦ. The rarity of this combination must stem from the fact that the non-subjective epistemic optative is combined with two subjective particles. As a result, there is a clear contextual motivation for the use of this marked option.

(16) τόλμα δ’ ἐρώσα· θεός ἐβουλήθη
bear.up. PTC love.PTCP.PRS.NOM.SG. god.NOM.SG. want.AOR.3SG
τάδε· νοσοῦσα δ’ εὖ πως τὴν
this.ACC. be.ill. PTCP. NOM.SG. PTC well somehow this.ACC
νόσον καταστρέφου. εἰσίν δ’
illness.ACC. SG subdue. IMP.2SG to.be.PRS.3PL PTC
ἐπωιδαί καὶ λόγοι θελκτήριοι·
incantations.NOM.PL and words.NOM.PL enchanting.NOM.PL
φανήσαται τι τῆσδε φάρμακον νόσου.
turn.up.FUT.3SG some.NOM.SG the.GEN cure.ACC.SG disease.GEN.SG
ἡ τάρ’ ἄν ὀψέ γ’ ἄνδρες ἔξευροιεν ἄν, εἰ μὴ
PTC PTC PTC soon PTC men.NOM.PL invent.OPT.PRS.3PL PTC if not
gυναίκες μηχανὰς εὑρήσομεν.
women.NOM.PL contrivances.ACC.PL discover.FUT.1PL
Bear up under your love: it was a god that willed it. And if you are ill with it, use some good measures to subdue your illness. There are incantations, and words that charm: something will turn up to cure this love. Men truly would be slow to invent such contrivances if we women do not find them. (E. Hipp. 476–481)

I believe that the interpersonal accessibility of the epistemic statement is what distinguishes the potential optative with evidential ἄρα from its use with the future indicative, which presents new and insufficiently accessible knowledge.
These lines round off a contemplative speech by the nurse on the dreadful ways of Cypris, advising Phaedra to just aim for the best that one is able to achieve. More importantly, she offers practical advice by stating that there will definitely be some kind of charm to help her. The subsequent statement with the potential optative relies on the well-known belief in Classical Greek times that women are the more mischievous sex and therefore more capable of finding the type of cures mentioned than men. The subjective particle ἦ in my view marks that the nurse also personally believes that men will be slower in finding such a cure, an opinion partly voiced in the preceding lines and in the use of the future indicative. The following mix of τοι and ἄρα (=τὔρα'), on the one hand, mark that the validity of the statement partly relies on personal experience from which the nurse infers (ἄρα) that men won’t be able to be as resourceful as women, while, on the other hand, asking for attention to a piece of knowledge that is of interest to Phaedra (τοι). The whole combination of the particles and the mood thus work together to make clear to Phaedra that she can solve her problems herself without the nurse being too explicit on whether she needs to resort to malicious means. The generalizing nature of this statement thereby fits the ambiguity of the nurse’s suggestions to Phaedra to take matters in her own hands.

9 Conclusion

In this paper I have challenged the commonly held view that the Classical Greek potential optative has a subjective epistemic semantics by, among other things, taking into account the interaction with the subjective particles ἦ and ἄρα. I have argued in several ways why a non-subjective epistemic semantics for the potential optative is more attractive. First, I have shown that the potential optative’s lower frequency of combination with the subjective particles ἦ and ἄρα point to a lack of affinity in (non-harmonic) combination, something which contradicts the allegedly subjective epistemic semantics for the potential optative. Second, I have demonstrated how a non-subjective approach to epistemic modality does more justice to the different kinds of epistemic modality available, in particular that of the semantics of the potential optative. This approach allows for discrimination between subjective epistemic modalities with proposition scope such as subjectively used modal verbs or subjective

53 See Barret (1964: 247) and, for example, E. it. 1032.
54 See Allan (2015: 12–14).
uses of the future indicative and non-subjective epistemic modalities without proposition scope such as the Classical Greek potential optative. As a result, I have been able to explain the interaction of particles with the meaning of a mood, that is, how ἦ and ἄρα specify a subjective semantic component in non-harmonic combinations with the non-subjective potential optative, added for social or rhetorical reasons to specify the speaker’s personal stance. Finally, I have stressed the methodological importance of examining contrastive contexts to solidify my proposal. Especially the accurate examination of these contrastive examples and their contexts has enabled me to show the current non-subjective epistemic proposal is more satisfactory.

The results of this article provide several new research opportunities. First and foremost, I hope that the innovative contribution of this paper to the study of the interaction of particles, modality and mood will be a starting point for so-called “mood alternation” in Ancient Greek texts. As with tense and aspect alternation, the consecutive choice of mood by speakers ought to be investigated systematically. As we have seen with the potential optative, this alternation depends both on what is epistemically accessible to the conversational participants and on a speaker’s interactive goals. An exciting starting point would be analysing the alternation between the future indicative and the potential optative with and without particles. Also, I expect that an essential analytic tool to explain the alternation of subjectively versus non-subjectively used moods will be the notion of Common Ground (Clark 1996). This notion has so far only been applied to adversative particles in Ancient Greek, but should in my view be applied to epistemic modality as well, because speakers adapt their mood use to what is already known by the addressee. Second, it would be rewarding to relate the non-subjective value of the potential optative in the main clause to other uses of the optative. For example, the wish optative also occasionally occurs with ἦ and ἄρα which could suggest that it has a non-subjective epistemics as well (la Roi forthc.). Finally, the particles with pragmatic values such as the information structural particles γ(ε), δη and δητ(α) or the illocutionary particles μην and που perhaps influence the pragmatic values of the potential optative in a different way, but those questions have not been dealt with yet.

55 For further references, see Jary (2009).
56 See Thijs (2017), Allan (2017a) and Allan & van Gils forthc. However, see la Roi forthc. for an application of Common Ground theory to the wish optative and its particles.
57 See the classification by Allan (2015).
Acknowledgments

I would sincerely like to thank Jesús de la Villa and Rutger Allan for their help as supervisors of my research masters thesis, which provided the basis for this article. I am also grateful to the group of Ancient Greek linguists at the Autónoma University in Madrid who commented on an earlier draft of this paper. Furthermore, I thank the Philologisch Studiefonds for the financial support that enabled me to finish the article as part of research stay at the Autónoma University in Madrid. Finally, I thank the two anonymous reviewers for their helpful comments on my paper.

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