1	Comparison of multiple typing methods for Aspergillus fumigatus
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3	Running title: Typing of Aspergillus fumigatus
4	
5	Original article (no change of manuscript category)
6	Abstract word count after revision: 225
7	Main text word count after revision: 2448
8	
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ABSTRACT

25 As part of studies on the spread of infections, risk factors and prevention, several 26 typing methods were developed to investigate the epidemiology of Aspergillus 27 fumigatus. In the present study, 52 clinical isolates of Aspergillus fumigatus from 12 airway specimens from patients with invasive aspergillosis (hospitalized in three 28 29 different centers) were typed by variable number of short tandem repeat (VNTR) 30 typing and multilocus sequence typing (MLST). These isolates were previously typed 31 random amplified polymorfic DNA (RAPD), sequence-specific DNA by 32 polymorphism (SSDP), microsatellite polymorphism (MSP) and multilocus enzyme 33 electrophoresis (MLEE). VNTR typing identified 30 genotypes and, for most patients 34 all isolates were grouped in one cluster of the unweighted pair group method with 35 arithmetic mean (UPGMA) dendrogram. Using MLST, only 16 genotypes were 36 identified among 50 isolates, while two isolates appeared untypeable. RAPD, MSP, 37 SSDP and MLEE identified 8, 14, 9 and 8 genotypes, respectively. Combining the 38 results of these methods led to the delineation of 25 genotypes and a similar clustering 39 pattern as with VNTR typing. In general, VNTR typing led to the same results as the 40 combination of RAPD, SSDP, MSP and MLEE but had a higher resolution while 41 MLST was less discriminatory and resulted in a totally different clustering pattern. Therefore, this study suggests the use of VNTR typing for research on the local 42 43 epidemiology of Aspergillus fumigatus, which requires a high discriminatory power.

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45 Key words: *Aspergillus fumigatus*, typing, variable number of short tandem repeat
46 (VNTR) typing, multilocus sequence typing (MLST)

47

INTRODUCTION

48

Aspergillus fumigatus is an ubiquitous, saprophytic mold causing diseases such as invasive aspergillosis (IA), aspergilloma and allergic bronchopulmonary aspergillosis. IA is currently the most important mold infection posing a threat to immunocompromised patients [1, 2]. *Aspergillus* species are also able to cause a number of other diseases in immunocompetent individuals [3] and hypersensitivity reactions to *A. fumigatus* are frequently occurring in patients with cystic fibrosis and asthma [4, 5, 6].

56 In order to study the epidemiology of A. fumigatus, multiple typing methods 57 were developed. These methods can be based on phenotypic characteristics (e. g. 58 enzyme patterns and antigenic profiles) or can directly or indirectly analyse 59 differences in DNA sequences. Because of their technical complexity, poor 60 reproducibility and/or low discriminatory power, the use of phenotype-based methods 61 is decreasing [7]. Additionally, a given phenotype does not always accurately reflect 62 the genotype of a micro-organism and may therefore not provide a reliable and stable 63 epidemiological marker [8]. Several genotype-based methods such as randomly 64 amplified polymorphic DNA (RAPD), sequence-specific DNA polymorphism 65 (SSDP), restriction fragment length polymorphism (RFLP) and amplified fragment 66 length polymorphism (AFLP) were described for A. fumigatus [9, 10, 11, 12, 13, 14]. 67 However, comparison between results obtained in different laboratories with these 68 pattern-based methods is difficult due to poor reproducibility of the patterns [15, 16].

Based on the genome sequence of *A. fumigatus* [17], a multilocus sequence typing (MLST) scheme and a typing scheme based on variable-number of short tandem repeats (VNTR) were developed [18, 19]. MLST is based on the principles of multilocus enzyme electrophoresis (MLEE) but the alleles are assigned to each locus directly by nucleotide sequencing of housekeeping genes, rather than indirectly through the electrophoretic mobility of the corresponding enzymes. MLST has a high discriminatory power but, at the same time, can be used for studies on global epidemiology owing to the relatively slow change of nucleotides in housekeeping genes [20, 21, 22, 23]. For VNTR typing, alleles are assigned based on length polymorphisms of microsatellite loci. A high discriminatory power can be achieved because these typing targets are highly variable [24, 25].

In the present study, 52 *A. fumigatus* isolates from patients with IA were typed with VNTR typing and MLST. Typeability, discriminatory power, reproducibility, time to result, ease of use and cost were assessed for VNTR typing and MLST and compared to results obtained previously for the same set of isolates with microsatellite polymorphism (MSP), MLEE, RAPD and SSDP [26].

MATERIALS AND METHODS

86 Isolates. A. fumigatus isolates were retrieved from 12 airway specimens from 87 12 IA patients, leading to a collection of 52 isolates conserved in the BCCM/IHEM 88 Culture Collection of the Scientific Institute of Public Health (Brussels, Belgium) 89 [26]. The patients were hospitalized in three different European medical centers 90 (Grenoble, France; Lyon, France and Milan, Italy) where clinical, radiologic and 91 mycologic investigations were performed to confirm the diagnosis of probable IA 92 according to previously published criteria [1]. An overview of the isolates included in 93 the present study is given in Table 1. We also subjected two A. lentulus strains (IHEM 94 22458 and IHEM 22459) to VNTR and MLST typing.

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Identification of the isolates. Isolates were identified as A. fumigatus according 96 to standard morphological criteria and their ability to grow at 48°C.

97 **DNA isolation.** Genomic DNA was prepared as described previously [27].

98 **VNTR typing.** For typing based on DNA repeats, a recently developed method 99 was used [19]. Three dinucleotide loci, three trinucleotide loci and three 100 tetranucleotide loci were amplified using three multiplex PCR's. Subsequently, a 1:50 101 dilution of the PCR products combined with the ROX400-HD size standard (Applied 102 Biosystems) was analysed by capillary electrophoresis on an ABI3130xl sequencer 103 (Applied Biosystems). Fragment sizes of the nine markers were calculated with 104 Peakscanner (Applied Biosystems) and based on the fragment size a locus type was 105 assigned. Combination of these types resulted in an overall genotype (designated by 106 an arbitrary number) for each isolate. Dendrograms were constructed with START2 107 software [28] using the UPGMA algorithm.

108 MLST. A previously published MLST scheme based on the sequence of seven 109 gene fragments [18] was used to type all isolates. Forward and reverse DNA sequence

110 chromatograms were analysed with DNAstar software to identify interstrain single-111 nucleotide polymorphisms (SNPs). Based on the sequences found for all seven gene fragments, a genotype was assigned in accordance with previously published results 112 113 [18].

114 Statistical analyses. For each typing method, the discriminatory index (DI) was 115 calculated by using Simpson's index of diversity [29]. The DI is the probability that 116 two unrelated strains sampled from the test population will be placed into different typing groups and was calculated by the following equation: 117

- 118
- $DI = 1 1/[N(N 1)] \sum_{j=1}^{s} n_j (n_j 1)$ 119

120 in which N is the total number of isolates, s is the total number of types described and n_i is the number of isolates belonging to the *i*th type. Confidence intervals (CI, 95%) 121 122 for each DI were calculated with a method described by Grundman et al. [30], 123 applying the following formula:

- 124
- 125

in which σ^2 is the variance with which the values of DI are distributed about the true 126 127 diversity of a population based on a sample of N individuals. This variance can be 128 estimated with the following equation:

 $CI = [DI - 2\sqrt{\sigma^2}, DI + 2\sqrt{\sigma^2}]$

129

130
$$\sigma^2 = 4/N \left[\sum_{j=1}^{3} \pi_j^3 - (\sum_{j=1}^{3} \pi_j^2)^2 \right]$$

131 in which π_i is the frequency n_i/N .

RESULTS

133 VNTR typing. Reproducibility was checked by typing five isolates (IHEM 134 numbers 9418, 9419, 9420 and 9600 included in the present study and IHEM 5141 135 included in a previous study [19]) in three independent experiments. The maximum 136 difference between the fragment sizes obtained in the three assays was 0.15, 0.27 and 137 0.31 base pairs for the dinucleotide, trinucleotide and tetranucleotide repeats, 138 respectively. Additionally, the locus type could always be assigned unambiguously, as 139 fragment sizes consistently differed by a multiple of the repeat size. VNTR typing of 140 the 52 A. fumigatus isolates resulted in the identification of 30 distinct genotypes 141 (Table 1), leading to a DI of 0.97 (Table 2). When a cut-off value of 0.1 (genetic 142 distance) was used, ten clusters (1-10), each consisting of the isolates of a single 143 patient, could be delineated while eight isolates (retrieved from patients GR/04, MI/02 144 and LY/25) occupied a separate position (Fig. 1). For the A. lentulus strains, no 145 amplicon was obtained with the dinucleotide and tetranucleotide primers, whereas 146 with the trinucleotide primers, fragments with sizes which have not been encountered 147 with any of the A. fumigatus isolates were obtained.

148 MLST. All 52 isolates were typed with MLST using a previously developed 149 method. Despite repeated attempts, we were not able to obtain good quality sequences 150 for the LIP fragment for two isolates (IHEM 9508 and 9029), leading to a typeability 151 of 96% for this method (Table 2). Among the remaining isolates, 16 genotypes were 152 identified (Table 1), resulting in a DI of 0.86 (Table 2). Using a cut-off value of 0.1, 153 six clusters could be distinguished in the UPGMA dendrogram, while A. fumigatus 154 IHEM 9378 occupied a separate position (Fig. 2). For the A. lentulus isolates, no 155 amplicon was obtained for any of the genes.

156 Typing with pattern-based methods. In a previous study, 52 A. fumigatus 157 isolates were typed with RAPD, MSP, SSDP and MLEE [26] and 8, 14, 9 and 8 158 genotypes were identified, respectively (Table 1). The DIs for these methods and the 159 corresponding 95% CIs (Table 2) ranged from 0.77 (0.68, 0.86) to 0.90 (0.87, 0.93). 160 Combining the results from these methods led to the identification of 25 genotypes 161 and to a combined DI of 0.96 (0.94, 0.98). A dendrogram based on the results 162 obtained with these four methods is shown in Fig. 1. With a cut-off value of 0.1 163 (genetic distance), nine clusters were identified (designated 1' to 10'), while six 164 isolates occupied separate positions in the dendrogram.

DISCUSSION

Previously, RAPD, SSDP, RFLP, AFLP, MSP and MLEE were used to type *A*. *fumigatus* isolates [3, 9, 14, 31, 32]. More recently, MLST and VNTR typing schemes were developed [18, 19, 24] and these latter methods have not been included in comparative studies yet. In the present study, results obtained with VNTR typing and MLST were compared with previous results obtained with four pattern-based methods.

172 In general, a high concordance was found between the results obtained with 173 VNTR typing and with the combination of the four pattern-based methods RAPD, 174 MSP, SSDP and MLEE. All clusters delineated in the VNTR dendrogram with a 0.1 175 (genetic distance) cut-off had corresponding clusters in the dendrogram obtained with 176 the four pattern-based methods using the same cut-off value (Fig. 1). However, in the 177 latter dendrogram, isolates from VNTR clusters 1 and 7 (with the exception of A. 178 fumigatus IHEM 9451) were merged in one large cluster (1'/7') and A. fumigatus 179 IHEM 9601 (occupying a separate position in the VNTR dendrogram) was part of 180 cluster 4'. Furthermore, the results obtained with VNTR typing and the combination 181 of pattern-based methods were generally in agreement with the epidemiology, as all 182 ten clusters delineated in the VNTR dendrogram consist of the isolates retrieved from 183 one single patient each (Fig. 1).

When the clusters found after analysis of the results obtained with VNTR typing were compared to clusters found in the dendrogram based on MLST results, little agreement was noticed. Several factors caused this totally different clustering pattern. While VNTR typing and the combination of the four methods both led to the identification of patient specific genotypes, several genotypes identified with MLST were shared between patients. Genotype 24 was identified in seven of the twelve

190 patients and genotypes 5 and 9 were identified for isolates retrieved from two 191 different patients. In addition, isolates from the same patient were often not more 192 similar to each other than isolates retrieved from different patients when MLST was 193 used. Using VNTR typing however, most genotypes identified for isolates from one 194 patient displayed only few differences.

195 The discriminatory power (DP) of a method is defined as the ability to assign a 196 different type to two unrelated strains sampled randomly from the population. It can 197 be expressed as a probability using Simpson's index of diversity (DI) [29]. Although a 198 group of unrelated strains is necessary to accurately determine the DI of a given 199 typing method, we calculated this index using the 52 strains incorporated in this study 200 for all typing methods and used it as a comparative characteristic rather than as an 201 absolute reflection of the DP of a method. In recently published guidelines for typing 202 methods [8], a DI of 0.95 or higher is recommended. In the present study, only VNTR typing with a DI of 0.97 reached this level (Table 2). The four pattern-based methods 203 204 and MLST all had a lower DI and additionally, the CIs are relatively large compared 205 to VNTR typing (Table 2). However, combination of the results obtained with the 206 pattern-based methods led to a similar discriminatory power (0.96) as VNTR typing 207 (Table 2). Because a very high DP is found with VNTR typing, this method can be 208 used for research of the micro-variation of Aspergillus fumigatus strains. This 209 phenomenon was recently observed in CF patients [33] and in strains from an 210 outbreak of aspergillosis [34]. For MLST the low DP may be improved by using a 211 different set of genes (only 27 genes were tested during the development of the MLST 212 scheme [18]). However, previous results obtained with MLEE demonstrated that the 213 level of inter-strain variation of genes is rather low in A. fumigatus [31, 32]. 214 Therefore, it is unlikely that a higher DP can be obtained with a different set of genes.

Typeability refers to a method's ability to assign a type to all isolates tested [8]. VNTR typing and the four pattern-based methods all had a typeability of 100% (Table 2). Despite repeated attempts (including several DNA preparations), we were not able to obtain high-quality sequences for the LIP fragment of two strains, resulting in a typeability of 96% for MLST. Whether poor quality DNA or whether differences in the sequence of the primer binding site are responsible for this remains to be determined.

222 In various previous studies the reproducibility of results obtained with pattern-223 based methods was questioned and this lack of reproducibility between laboratories 224 hampers the large-scale implementation of these typing schemes [10, 11, 12, 16]. An 225 important advantage of MLST is that it provides unambiguous sequencing data which 226 can easily be archived, shared between various laboratories and/or stored in an online 227 database (http://pubmlst.org/afumigatus). Despite previous problems with 228 reproducibility of VNTR typing [35], fragment sizes were very similar in independent 229 assays in the present study. In addition, an allelic ladder was recently developed to further improve interlaboratory compatibility of this VNTR typing scheme (H. A. de 230 231 Valk, J. F. G. M. Meis and C. H. W. Klaassen, presented at the Thirth Advances 232 Against Aspergillosis conference, Miami, FL, 16 to 19 January 2008). The increasing 233 use of this technique highlights the need for and added value of a centralised database 234 similar to the one for MLST data but such a database for VNTR profiles of A. 235 *fumigatus* is yet to be established.

The ease of use of a method not only encompasses the technical simplicity but also the workload, suitability for processing large numbers of isolates and the ease of scoring and interpreting the results [8]. As specific enzyme staining procedures are included in the MLEE assay, this method is more laborious. Although data analysis of

the newer methods (VNTR typing and MLST) might require some training, all
techniques used in this study are fairly easy to perform (Table 2). A disadvantage of
MLST is its rather time-consuming nature.

243 The resources necessary to implement a method depend both on the initial cost 244 for equipment, the labour costs and the cost per isolate. Only the latter cost is 245 calculated for all studied methods and presented in Table 2, while costs for equipment 246 and labour were not taken into account. MLST is by far the most expensive technique 247 compared to the other methods used in this study. As we used core facilities, charges 248 for sequencing and capillary electrophoresis are included in the cost per isolate for 249 MLST and VNTR typing (Table 2), while this is not the case for the pattern-based 250 techniques.

251 Conclusion. In the present study, 52 A. fumigatus isolates from patients with IA 252 were typed with VNTR typing and MLST and results were compared to those 253 obtained previously with MSP, MLEE, RAPD and SSDP [26]. As previously 254 suggested [18], a low sequence variability between A. fumigatus isolates restricted the 255 use of MLST for high-level strain discrimination, although MLST might still be 256 useful for population studies. VNTR typing resulted in a higher discriminatory power 257 and an epidemiologically more relevant clustering pattern than MLST. Additionally, 258 VNTR typing proved to be more simple and reproducible and cheaper than the 259 combination of four pattern-based methods. In conclusion, our results suggest that 260 VNTR is a superior tool for typing of A. *fumigatus* isolates in studies concerning local 261 epidemiology.

263 ACKNOWLEDGEMENTS
264 The authors would like to thank Jean-Philippe Bouchara, Renée Grillot and
265 Claudine Pinel for stimulating discussions.

266	TRANSPARENCY DECLARATION
267	This research was financially supported by the Belgian Science Policy (contract
268	C3/00/19), the BOF of Ghent University and FWO-Vlaanderen. The authors declare
269	no conflict of interest.
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423 **Figure legends**:

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Fig. 1: UPGMA dendrograms of the isolates typed with VNTR (left) and with a combination of 4 pattern-based methods (RAPD, MSP, SSDP and MLEE) (right). The scale bar presents the genetic distance between the isolates. Clusters were delineated with a cut-off value of 0.1 genetic distance for both methods as indicated by the vertical lines. Clusters found in the VNTR dendrogram are designated with the numbers 1 to 10 and correspond to clusters 1' to 10' in the right dendrogram. In both dendrograms the patient codes for the isolates in each cluster are shown.

Fig. 2: UPGMA dendrogram of the isolates typed with MLST. The scale bar presents
the genetic distance between the isolates. Clusters were delineated with a cut-off
value of 0.1 genetic distance as indicated by the vertical line. Clusters found in the
dendrogram are designated with the letters A to F.

438 Table 1: Genotypes obtained by VNTR typing, MLST, RAPD, MSP, SSDP and

439 MLEE for the studied isolates

Center,			Genotype by ^a :						
patient and	Sample	Isolation date	VNTR		Pattern-based methods				
IHEM no.	type ^b		typing	MLST	RAPD	MSP	SSDP	MLEE	Combined
Grenoble									
GR/02	BAL	01/13/1995							
9418			17	24	1	1	24	7	1
9419			17	24	1	1	24	8	2
9420			17	24	1	1	24	7	1
GR/04	BA	04/18/1995							
9600			6	9	6	2	32	1	3
9601			16	24	7	3	24	1	4
9602			15	3	4	1	32	1	5
9603			5	24	4	4	31	1	6
9604			7	13	6	4	24	1	7
GR/06	BA	05/29/1995	,	15	0	-	24	1	,
9720	DA	05/27/1775	12	24	3	5	24	1	8
9720			12	24	3	6	24	1	9
9722			11	24	3	7	24	1	10
9723			11	24	3	8	24	1	11
9724			11	24	3	8	24	1	11
GR/01	BAL	10/04/1994							
9347			13	7	3	3	24	1	12
9348			14	7	3	3	24	1	12
9349			13	24	3	3	32	1	13
9350			13	7	3	3	24	1	12
9351			13	24	3	3	32	1	13
Lyon									
LY/07	BAL	11/16/1994							
9378			3	32	2	9	30	3	14
9379			4	24	2	9	30	3	14
9380			1	5	2	9	30	3	14
9381			1	5	2	9	30	3	14
9382			2	5	2	9	30	3	14
LY/20	BAL	02/17/1995							
9508			19	-	3	10	26	4	15
9509			19	24	3	10	28	4	16
9510			19	24	3	10	28	4	16
9511			19	24	3	10	28	4	16
9512			19	33	3	10	28	4	16
LY/25	BAL	04/04/1995	17	55	5	10	20	•	10
9595	DAL	01/01/1995	27	11	2	11	28	2	17
9393 9596			107	11	2	11	28	2	17
9390 9597			28	11	2	11	28	2	17
			28 70					2	
9598 LV/28	Continue	01/10/1005	/0	11	2	11	28	2	17
LY/28	Sputum	01/10/1995	21	~		C	20	2	10
9447			21	5	4	9	30	3	18
9448			20	5	4	9	30	3	18
9449			22	34	4	9	30	3	18
9450 9451			21 21	35 5	4 4	9 8	30 30	3 3	18 19
				-		-		-	
Milan	_								
MI/02	Sputum	03/25/1994							
14317			26	36	3	12	28	3	20
14318			18	36	3	3	30	4	21
MI/05	BA	05/09/1996							
10054			25	25	5	13	25	3	22
10055			25	37	5	13	25	3	22
10056			25	25	5	13	25	3	22

9025			8	24	8	14	29	3	23
9026			8	14	8	14	29	3	23
9027			9	14	8	14	29	5	24
9028			8	38	8	14	29	3	23
9029			10	-	8	14	29	3	23
MI/12	RA	10/24/1997							
14202			24	9	3	1	18	6	25
14203			24	9	3	1	18	6	25
14204			23	9	3	1	18	6	25
14205			23	38	3	1	18	6	25
14206			24	9	3	1	18	6	25

^a: An arbitrary number was designated to the genotypes presented in this Table.
Genotype numbers displayed for the pattern-based methods and MLST correspond to
previously published results [18, 26].

444

445 ^b: BAL, bronchoalveolar lavage; BA, bronchial aspiration; RA, rhinopharyngeal

446 aspirate

Typing method	Typeability (%)	DI (95% CI)	Reproducibility ^a	Ease of use ^b	Estimated cost/isolate (\$ / €) ^c
VNTR	100	0.97 (0.96, 0.99)	High	High	12/7
MLST	96	0.86 (0.78, 0.93)	Very high	Moderate	66/42
RAPD	100	0.77 (0.68, 0.86)	Low	High	$\pm 1.5 / \pm 1$
MSP	100	0.90 (0.87, 0.93)	Low	High	$\pm 2.5/\pm 1.5$
SSDP	100	0.85 (0.81, 0.89)	Moderate	Very high	\pm 5/ \pm 3
MLEE	100	0.82 (0.75, 0.88)	Low	Moderate	\pm 9.5/ \pm 6
Combination of RAPD, MSP, SSDP and MLEE	100	0.96 (0.94, 0.98)	Low	Moderate	± 18/± 11.5

447 Table 2: Characteristics of the typing methods for *A. fumigatus* used in this study.

^a: Reproducibility was scored from "low", over "moderate" and "high", to "very high" based on both the reproducibility of fragment size,
sequence or marker pattern, and the reproducibility of the final results (i.e. genotype assignment).

451 ^b: Ease of use of the methods was scored from "moderate" over "high" to "very high" based on technical simplicity, workload, suitability for use

452 in large-scale studies, ease of interpreting the results and amount of training required.^c: As core facilities were used for MLST and VNTR

453 typing, charges for sequencing and capillary electrophoresis are included in the cost per isolate, while this is not the case for the pattern-based

454 techniques. Costs for equipment and labour were not taken into account.