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CALENDERING AS A DIRECT SHAPING TOOL FOR THE CONTINUOUS PRODUCTION OF FIXED-DOSE COMBINATION PRODUCTS VIA CO-EXTRUSION.

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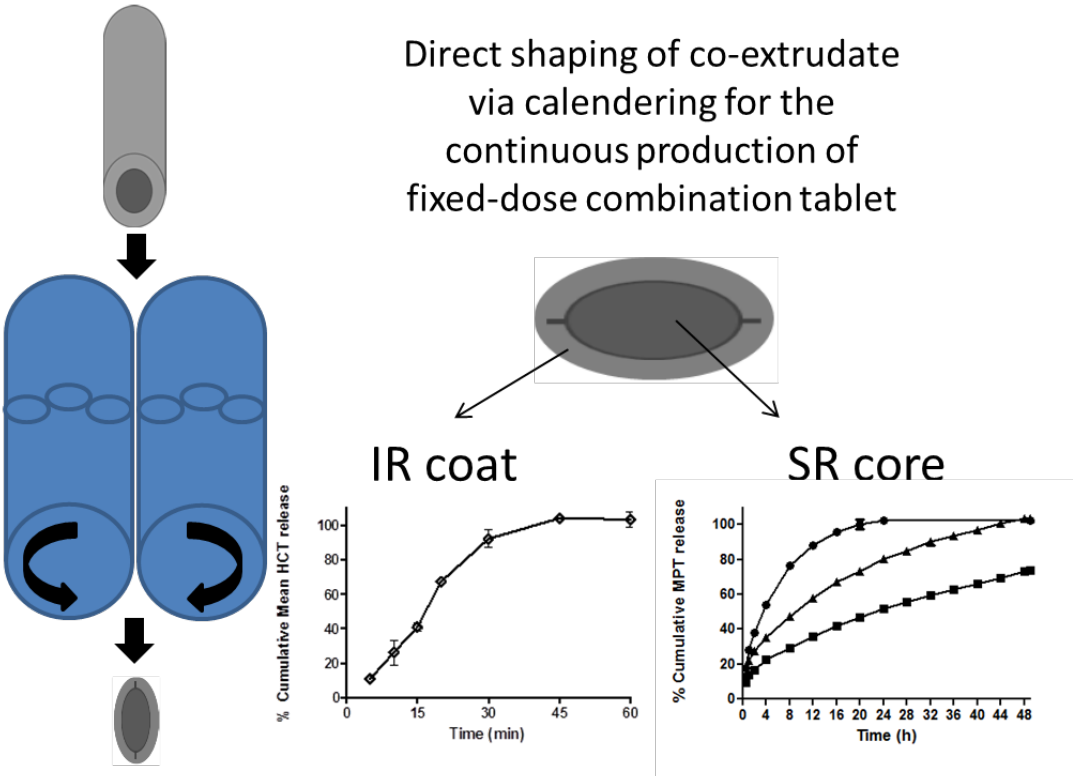
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Abstract

In this study calendering is used as a downstream technique to shape monolithic co-extruded fixed-dose combination products in a continuous way. Co-extrudates with a metoprolol tartrate-loaded sustained-release core and a hydrochlorothiazide-loaded immediate-release coat were produced and immediately shaped into a monolithic drug delivery system via calendering, using chilled rolls with tablet-shaped cavities. *In vitro* metoprolol tartrate release from the ethylcellulose core of the calendered tablets was prolonged in comparison to the sustained release of a multiparticulate dosage form, prepared manually by cutting co-extrudates into mini-matrices. Analysis of the dosage forms using X-ray micro-computed tomography only detected small differences between the pore structure of the core of the calendered tablet and the mini-matrices. Diffusion path length was shown to be the main mechanism behind the release kinetics. Terahertz pulsed imaging visualized that adhesion between the core and coat of the calendered tablet was not complete and a gradient in coat thickness (varying from 200 to 600 μm) was observed. Modulated differential scanning calorimetry and X-ray diffraction indicated that the solid state properties of both drugs were not affected by the calendering procedure.

Keywords: calendering, co-extrusion, continuous production, fixed-dose combination product, sustained release, immediate release

Graphical abstract



List of abbreviations

HME	Hot-melt extrusion
FDC	Fixed-dose combination
MPT	Metoprolol tartrate
HCT	Hydrochlorothiazide
EC	Ethylcellulose
PEO	Polyethylene oxide
PEG	Polyethylene glycol
MDSC	Modulated differential scanning calorimetry
XRD	X-ray diffraction
DBS	Dibutyl sebacate
MW	Molecular weight
USP	United States Pharmacopeia
UV	Ultraviolet
<i>T_g</i>	Glass transition temperature
RCS	Refrigerated cooling system
TPI	Terahertz pulsed imaging
Micro-CT	Micro-computed tomography
BMP	Bitmap
ROI	Region of interest

1. INTRODUCTION

1 In co-extrusion two or more formulations are simultaneously processed via hot-melt
2 extrusion (HME) through the same die. In addition to the advantages of HME, such as the
3 continuity of the production process, not requiring the use of solvents or water and improving
4 drug bioavailability, this technique offers the opportunity to produce fixed-dose combination
5 (FDC) products with enhanced release characteristics, by making it possible to design
6 multilayered dosage forms that are extruded in the same process step, in order to modulate
7 the drug release from each layer. Although co-extrusion is used to manufacture implants [1]
8 and vaginal rings [2], there are currently no co-extruded dosage forms for oral application on
9 the market. In literature only a limited number of studies describe co-extrusion of dosage forms
10 for oral drug delivery [3-6]. Recently co-extrusion has been used for the development of
11 multiparticulate fixed-dose combination drug products for oral pharmaceutical application,
12 consisting of a controlled release core matrix and an immediate release coat [7] and to develop
13 sustained and dual drug release formulations for individual dosing [8]. For pharmaceutical
14 applications of co-extrusion, one of the major challenges is the shaping of the final product in
15 a continuous way, as a suitable downstream shaping technique is needed to ensure an efficient
16 manufacturing line. Previously injection-molding has been used to shape extrudates into solid
17 oral dosage forms in a semi-continuous way [9, 10] and even to prepare co-injection moulded
18 matrices [4]. Calendering is a technique that allows in-line shaping of the extruded material in
19 a fully continuous single-step process. Using this technique the freshly-extruded thermoplastic
20 strand is guided through a pair of temperature-controlled rolls containing tablet- or pill-shaped
21 cavities, yielding bands that contain single tablet-shaped cores of the desired shape. Although
22 this technique is already widely established in the plastic and confectionary industry to produce
23 monolithic shapes, only the Meltrex[®] technology [11] and the continuous extrusion process for
24 the production of sustained release tablets developed by Knoll AG [12] report calendering as
25 a possible shaping tool for pharmaceutical applications.

26 In this study the use of calendaring to continuously shape a multi-layered co-extrudate into
27 a monolithic FDC dosage form was evaluated. In the treatment of cardiovascular disease the
28 FDC of the beta-blocker metoprolol tartrate (MPT) with the diuretic hydrochlorothiazide (HCT)
29 is well established [13]. Therefore co-extrudates consisting of a plasticized ethylcellulose (EC)
30 core, containing MPT and polyethylene oxide (PEO), and a coat of polyethylene oxide (PEO)
31 / polyethylene glycol (PEG) containing HCT were previously developed [14]. After production
32 the cylindrical co-extrudate with concentric coat layer was immediately shaped via calendaring,
33 using chilled rolls with tablet-shaped cavities. In this way monolithic dosage forms with a
34 sustained-release core, loaded with MPT as model drug, and an immediate-release coat,
35 loaded with HCT as model drug, were produced and evaluated for in vitro drug release, coat
36 thickness and uniformity and pore structure. The impact of the calendaring step on the physical
37 state of the drugs in the formulations was characterized using modulated differential scanning
38 calorimetry (MDSC) and X-ray diffraction (XRD).

39 **2. MATERIALS AND METHODS**

40 **2.1 Materials**

41 Metoprolol tartrate (MPT) (Esteve Quimica, Barcelona, Spain) and hydrochlorothiazide
42 (HCT) (Utag, Amsterdam, the Netherlands) were used as sustained and immediate release
43 model drugs, respectively. As excipients ethylcellulose (Ethocel[®] std 10, Colorcon, Dartford
44 Kent, United Kingdom), dibutyl sebacate (DBS) (Sigma-Aldrich, Bornem, Belgium),
45 polyethylene oxide (PEO) 1M (MW: 1000000 g/mol, Sentry[™] Polyox[®] WSR N12K, Colorcon,
46 Dartford Kent, United Kingdom), PEO 100K (MW: 100000 g/mol, Sentry[™] Polyox[®] WSR N10,
47 Colorcon, Dartford Kent, United Kingdom) and polyethylene glycol (PEG) 4K (MW: 4000 g/mol,
48 Fagron, Waregem, Belgium) were used. All other chemicals were of analytical grade.

49 **2.2 Methods**

50 **2.2.1 Co-extrusion**

51 Co-extrusion was carried out using two co-rotating Prism Eurolab 16 mm twin-screw
52 extruders (ThermoFisher Scientific, Karlsruhe, Germany), connected to a co-extrusion die
53 (Guill, West Warwick, USA). In the calendaring set-up the co-extrusion die was adapted to fit
54 the diameter of the co-extrudate with the dimensions of the calender cavities, shaping a
55 cylindrical co-extrudate consisting of a core with a diameter of 4 mm and a concentric coat with
56 a thickness of 2 mm. To produce the multiparticulates, a cylindrical co-extrudate with an inner
57 diameter of 3 mm and an outer diameter of 4 mm was manufactured. The heating zones of
58 both extruders were heated to 80/90/100/100/100/100 °C from feed opening to die-end. The
59 co-extrusion die was heated to 100 °C. Both premixes were fed separately into an extruder by
60 a Brabender Flexwall[®] loss-in-weight powder feeder (Brabender, Duisburg, Germany) at a feed
61 rate of 200 g/h for the coat and 300 g/h for the core material. A screw speed of 40 rpm and
62 150 rpm was used for the extruder producing the outer layer and the inner layer, respectively.

63 **2.2.2 Downstream processing**

64 Calendaring was performed with a Collin 60 mm calender (Dr. Collin, Ebersberg,
65 Germany), coupled to a compressed air supply and a Coolenergy chiller (Plastima, Breda, The

66 Netherlands), which cooled the calender rolls to a temperature within the range of 4-8 °C. The
67 speed of the calender rolls was set at 1.5 rpm. Immediately after leaving the co-extrusion die
68 the co-extruded strand was guided between a pair of chilled pressurized rolls that contained
69 tablet-shaped cavities, yielding tablets with a diameter of 8 mm and a thickness of 5 mm.

70 To test the effect of cooling on the MPT release a core extrudate was prepared using the
71 same process parameters as for the core in the co-extrudate. Part of this material was cooled
72 at room temperature, while the remaining part was quench-cooled by dipping the core
73 extrudate in liquid nitrogen immediately after extrusion.

74 Multiparticulates were obtained by manually cutting a cylindrical co-extrudate with an inner
75 diameter of 3 mm and an outer diameter of 4 mm into mini-matrices of 2 mm length after
76 cooling the co-extruded rod to room temperature.

77 2.2.3 In vitro drug release

78 In vitro dissolution was performed using United States Pharmacopeia (USP) dissolution
79 apparatus 1 (baskets) on an Evolution 6300 dissolution system (Distek, New Brunswick, New
80 Jersey, USA), coupled with an Evolution 4300 automatic dissolution sampler (Distek, New
81 Brunswick, New Jersey, USA). The temperature of the dissolution medium (900 ml) was kept
82 at 37 ± 0.5 °C and the rotational speed of the baskets was set to 100 rpm. For the first hour a
83 0.1 N solution of hydrochloric acid (pH 1) was used as the dissolution medium. Afterwards the
84 baskets containing the mini-matrices or tablets were transferred to vessels filled with
85 phosphate buffer pH 6.8 (USP) as the dissolution medium. Samples (filtered using Distek 45
86 μm filters) of 5 ml were withdrawn at 5, 10, 15, 20, 30, 45 and 60 minutes for the determination
87 of HCT concentration in the first dissolution medium and at 1, 2, 4, 6, 8, 12, 16, 20 and 24
88 hours for the determination of MPT concentration in the second dissolution medium. The core
89 layer was analyzed separately to cover for the MPT release during the first hour. Samples were
90 analyzed spectrophotometrically at 316.6 and 222.0 nm, using a UV-spectrophotometer, type
91 UV-1800 (Shimadzu, Deurne, Belgium) and applying an appropriate calibration curve for
92 quantification of HCT and MPT, respectively. Each experiment was performed in triplicate.

93 2.2.4 Modulated differential scanning calorimetry

94 The solubility of HCT in the coat of the tablet was studied by cyclic heating of an
95 oversaturated sample, containing 70 % HCT, followed by annealing at a different temperature
96 for each cycle in order to reach the maximum solubility at each temperature. After the
97 annealing step the sample was quenched and heated again to determine the glass transition
98 temperature (T_g). These cycles were performed for different annealing temperatures in
99 between the melting point of polymer matrix and drug, and the shift in T_g was monitored using
100 a differential scanning calorimeter Q200, equipped with a refrigerated cooling system (RCS)
101 (TA Instruments, Leatherhead, UK). Nitrogen was used as purge gas through the DSC cell (50
102 ml/min) and the RCS unit (300 ml/min). Samples (± 3 mg) were run in an open aluminum pan
103 with an underlying heating rate of 5 °C/min. The modulation period and amplitude were set at
104 50 s and 0.663 °C, respectively (heat-only method). Temperature and enthalpy calibration was
105 performed with an indium standard at the same scan rate and with the same kind of pans used
106 in the experiment. MDSC data were analyzed using the TA instruments Universal Analysis
107 2000 V4.7A software.

108 2.2.5 X-ray diffraction

109 Crystallinity was analyzed using X-ray diffraction (XRD) on pure compounds, physical
110 mixtures and corresponding extrudates. X-ray diffraction was performed on a D5000 diffractor
111 with Cu K α radiation ($\lambda = 1.54 \text{ \AA}$) (Siemens, Karlsruhe, Germany) and a voltage of 40 mV in
112 the angular range (2θ) varying from 10 to 60° using a step scan mode with a step size of 0.02°
113 and a measuring time of 1 s/step.

114 2.2.6 Terahertz pulsed imaging (TPI)

115 The calendered tablets from different formulations were analyzed using a TPI imaga2000
116 coating scan system (Teraview, Cambridge, UK). The operation of this system was previously
117 described by Zeitler et al. [15]. Images were acquired in a point-to-point mode with a step size
118 of 200 μm . Images were analyzed using TPI View (version 3.0.3, Teraview, Cambridge, UK).
119 A six-axis robot arm was used to produce a surface map of the calendered tablet. The

120 refractive index of the coating material was estimated to be 1.5, based on the surface
121 reflectivity of the calendered tablets as well as by calibration using the X-ray micro-computed
122 tomography. Given the very smooth texture of the surface this was deemed to represent an
123 appropriate measurement for the refractive index as no surface scattering would contribute to
124 the losses. Using this value histograms and maps of coating uniformity were plotted using
125 Matlab (R2013a, The Mathworks, Natick MA, USA).

126

127 2.2.7 X-ray micro-computed tomography (Micro-CT)

128 The porosity of the mini-matrices and tablets was evaluated by means of micro-CT. Co-
129 extruded mini-matrices and calendered tablets were scanned using a Skyscan 1172 high
130 resolution X-ray micro-CT system (Bruker microCT, Kontich, Belgium), operated at 59 kV
131 source voltage, with an image pixel size of 1.37 μm and 4.53 μm , for the mini-matrix and the
132 tablet, respectively. The scanning system is equipped with an aluminum 0.5 mm filter and an
133 11 Mp CCD detector. For the scan with the image pixel size of 4.53 μm the samples were
134 rotated over 0.4 $^\circ$ steps, exposure time was 1000 ms and total scan duration was 42 min. For
135 the high resolution offset-scan the samples were rotated over 0.2 $^\circ$ steps, exposure time was
136 2350 ms, frame averaging was 5 and total scan duration was 9 h 17 min. The images were
137 reconstructed with NRecon (Version 1.6.3.2, Bruker microCT, Kontich, Belgium) on a GPU-
138 ReconServer. A Gaussian smoothing kernel of 2 pixels was applied, resulting in an 8-bit bitmap
139 (BMP) image with a linear X-ray attenuation coefficient, displayed as a grey scale value
140 calibrated between 0 and 255. To compare both dosage forms at the same pixel size, the
141 images of the mini-matrix system were resized fourfold prior to analysis. Data analysis and
142 visualization was done with CTAn software (version 1.13.5.1, Bruker microCT, Kontich,
143 Belgium) and CTVol (version 2.2, Bruker microCT, Kontich, Belgium) for surface rendering.
144 For image analysis the core was defined as the region of interest (ROI). To this end, applying
145 a Gaussian blur by 2 pixels allowed separating the two peaks in the grey scale histogram with
146 a threshold of 34. Pixels with lower intensities were assigned to the core and pixels with a

147 higher intensity were assigned to the coat layer. This ROI is applied to the original grey scale
148 images, in this way removing the coat. Greyscale images were binarised using an Otsu-
149 algorithm, one of the most popular techniques of automatic thresholding [16]. 3D objects
150 smaller than 20 voxels were considered to be noise and were filtered out of the image used
151 for porosity analysis. A distinction is made between internal pores, which are located in the
152 core of the co-extrudate, and pores at the interface between core and coat. The percentage of
153 internal pores is quantified as the ratio between the internal pore volume in the core and the
154 object volume (i.e. total volume of solid core material, excluding pores). The percentage of
155 pores at the interface between both layers is defined as the ratio between the pore volume at
156 the interface and the total core volume (i.e. region of interest volume, including pores). A size
157 distribution of the pores is illustrates the percentage of pores in a certain range of structure
158 thickness. Local structure thickness for a point in solid material is defined by Hildebrand and
159 Ruegsegger as the diameter of the largest sphere that encloses the point and is entirely
160 enclosed within the solid surfaces [17].

161

3. RESULTS AND DISCUSSION

In order to continuously shape a multi-layered co-extrudate into a final monolithic FDC tablet dosage form the co-extrusion line was extended downstream with a calender and chiller. Although calendaring has been used previously to shape an extrudate into a final dosage form [11, 12], to our knowledge this study is the first to evaluate calendaring as a downstream tool in a co-extrusion process, thus producing a dosage form with an outer layer that is surrounding the inner core. In order to evaluate the effect of calendaring on the release profiles of two model drugs, formulations with a MPT-loaded sustained release core and a HCT-loaded immediate release coat were used (Table 1): the two core formulations A and B varied in their EC/PEO ratio while a lower MPT content was used in formulation C. This allowed to evaluate the effect of calendaring as a function of the concentration of PEO (added as hydrophilic additive) and the MPT load [14]. The composition of the coat formulation was constant, except for the HCT load of formulation C that was adjusted in order to respect the same MPT/HCT ratio in each of the co-extruded formulations. The MPT-loaded plasticized EC matrix (with the addition of PEO 1M as a hydrophilic additive) was co-extruded with its HCT-loaded PEO 100K/PEG 4K coat at a temperature of 100 °C. The inserts of the co-extrusion die were adapted to match the dimensions of the co-extrudate with the dimensions of the calender cavities. The co-extruded string was guided between the chilled calender rolls to shape a string of tablets. Calendered tablets were regular in shape and had a uniform aspect as long as the calender rolls were chilled at 4 ± 1 °C. When the chiller did not succeed in adequately cooling the calender rolls (i.e. using a chill water temperature > 6 °C), the calendered tablets deformed when the calendered string detached from the chill rolls. As aspect defects were found to be highly dependent on calender speed and temperature, a low calender speed was used to allow adequate cooling and perfect shaping of the calendered tablets [18]. The cooling rate of the material between the calender rolls seemed essential to obtain a dosage form with good shape and uniform dimensions (Fig. 1). Although minimized by adjusting the die dimensions to the dimensions of the calender cavities, waste material was created at the sides of the tablets when forcing the co-extruded strand in between the calender rolls. The amount of waste was

190 7.5 % w/w of the total weight of the co-extruded calendered material. As this waste at the
191 edges of the tablets must be removed to obtain the final dosage forms, this is especially a
192 disadvantage when working with highly valuable active ingredients. Based on the drug content
193 of the waste material, it was assessed that it is mainly composed of coat material (93 % of the
194 total waste fraction), while only a minor part of core material is lost during calendaring. This
195 should be taken into account as this yields tablets with a higher MPT/HCT ratio than
196 theoretically anticipated based on coat and core composition. The higher MPT/HCT ratio was
197 confirmed by quantification of the MPT and HCT concentration in the calendered tablets: a
198 ratio of 8.58 vs. 8.00 based on the composition of coat and core layer. Since TPI was previously
199 used for the non-destructive analysis of coated tablets [19] this technique was used to visualize
200 the coat layer and to study the adhesion between core and coat. Especially interesting for the
201 analysis of calendered tablets via TPI is the fact that not only the thickness of the coat layer,
202 but also the uniformity and integrity of the coat can be analysed, since penetration depths into
203 typical pharmaceutical formulations between 1 and 3 mm can currently be achieved [14]. The
204 thickness of the coat layer varied between 200 and 600 μm (Fig. 2). In the false-colour images
205 of the tablets of formulation B, a thickness gradient in the coat layer is observed (Fig. 2), which
206 is more pronounced at the top face compared to the bottom face of the calendered tablets.
207 This gradient can be explained by the sequenced contact of different regions of the tablet with
208 the calender rolls. The cross section images based on the micro-CT data from a string of
209 tablets confirmed this by revealing a recurrent pattern of the thinner area in the coating layer
210 (Fig. 3). The analysis of the calendered tablet via TPI also clearly identified that the adhesion
211 between core and coat was not complete as an air gap at the interface between both extruded
212 layers was detected (Fig. 2). The incomplete adhesion was also confirmed by micro-CT (Fig.
213 3) and quantified as the percentage of pores at the intersection of core and coat. These pores
214 at the intersection of core and coat for calendered tablets (2.37 %) were also found for the
215 multiparticulates (2.06 %), indicating that the incomplete adhesion between coat and core was
216 not linked to the calendaring step in the process, but originated during the co-extrudate
217 formation. These air pockets could become entrapped between coat and core layer when both

218 extrusion flows merge. The air gap between the coating and the core is unlikely to have any
219 influence on the release characteristics for a tablet with an immediate release coat (as
220 manufactured in this study) since the coat will rapidly dissolve and expose the core to the
221 dissolution medium. However, the results show that calendering as a post-processing step for
222 co-extruded formulations needs further optimization for drug delivery systems where the coat
223 controls the release of the core, as the differences in thickness of the coating layer and
224 incomplete adhesion between both layers observed in this study would induce significant
225 variability in the release rate. Moreover, for those types of systems it will be challenging to
226 obtain a coat that completely seals the core, a feat that is difficult to achieve with the
227 calendering set-up used in this study, especially at the edges of the calendered tablets (Fig.
228 3).

229 Physico-chemical characterisation of MPT and HCT in core and coat, respectively, was
230 performed in order to evaluate the effect of calendering on the physical state of the
231 incorporated drug substances. The solubility of HCT in the PEO/PEG carrier was determined
232 by monitoring the shift in T_g after annealing a supersaturated physical mixture at different
233 temperatures and subsequent quenching. First of all the Gordon-Taylor curve was established
234 for different mixtures of HCT in the PEO/PEG carrier. The composition dependence of the
235 glass transition temperature was fitted by the usual Gordon-Taylor law [20, 21]:

$$236 \quad T_g(X_{(HCT)}) = \frac{[(X_{(HCT)} \cdot T_g(HCT)) + (K(1 - X_{(HCT)})T_g(carrier))]}{[X_{(HCT)} + K(1 - X_{(HCT)})]} \quad (1)$$

237 In equation 1 $T_{g(HCT)}$ and $T_{g(carrier)}$ are respectively the glass transition temperature of pure
238 HCT and the carrier, $X_{(HCT)}$ is the HCT fraction in the mixture, and K is a fitting parameter
239 characterizing the curvature of the evolution.

240 In order to determine the drug concentration dissolved in the polymer carrier at each
241 annealing temperature the T_g values from the annealing experiment were plotted on the
242 Gordon-Taylor curve. In this way the solubility curve was determined (Fig. 4). The

243 concentration of HCT (5.6 % w/w) in the coat layer of the tablet was far below the solubility
244 limit (31 % w/w) of HCT in the carrier. This was confirmed by the absence of the melting
245 endotherm of HCT in the MDSC thermogram and by the absence of any peaks representative
246 of crystalline HCT in the X-ray diffraction pattern of the extruded coat (data not shown),
247 demonstrating HCT was present in the coat as a solid solution in the crystalline polymer
248 mixture. MDSC thermograms of MPT-loaded core formulations showed a melting peak at 118
249 °C. The enthalpy of fusion indicated that the main drug fraction remained crystalline in the
250 calendered tablets: 82.3 and 85.0 % MPT was in a crystalline state in the core of formulations
251 A and B, respectively. Similar values of MPT crystallinity were detected in the core of the mini-
252 matrices (80.0 and 85.8 % for formulations A and B, respectively), indicating that the
253 calendering step did not affect the solid state properties of MPT. The X-ray diffraction pattern
254 of the core of the calendered tablet also revealed diffraction peaks of MPT, confirming that the
255 crystalline state of MPT was at least partially maintained in the tablets. Moreover, the X-ray
256 diffractogram did not reveal differences between the cores of calendered tablets and co-
257 extruded mini-matrices (data not shown). The pore structure of the core in both dosage forms,
258 calendered tablets and mini-matrices, was compared using micro-CT. The percentage of
259 internal pores was 4.00 % and 1.08 % for the core of the mini-matrices and calendered tablets,
260 respectively. The lower amount of internal pores in the calendered tablet can be attributed to
261 the additional densification of the material during calendering. In addition, the internal pores in
262 the calendered tablet were smaller in size: an average structure thickness of $17 \pm 20 \mu\text{m}$, in
263 comparison to $83 \pm 5 \mu\text{m}$ for the mini-matrix (Fig. 5). However, these limited differences in
264 number and size of the internal pore structure are unlikely to have an impact on drug release.
265 The difference in sustained release of MPT between the monolithic calendered tablets and the
266 multiparticulates is illustrated in Figure 6. The MPT burst release was reduced by half for all
267 calendered formulations in comparison with the mini-matrices. Moreover, the monolithic
268 calendered tablet sustained MPT release to a larger extent than the mini-matrices, with a
269 complete release after only 24 h instead of 8 h in case of formulation A. The lower mass
270 transport rates from the calendered tablets were linked to the dimensions of the dosage forms:

271 the core of the calendered tablet had a diameter of 7 mm and a thickness of 4 mm, whereas
272 the core of the mini-matrices had a diameter of 3 mm and a length of 2 mm. The importance
273 of relative surface area available highlighted the importance of diffusion path length for MPT
274 dissolution from the matrices and was confirmed by performing dissolution tests on cylindrical
275 extrudates with a similar surface area/volume ratio to the calendered tablet and on a central
276 part of the calendered tablet with the same dimensions as the mini-matrices. Both test set-ups
277 indicated that the diffusion path length is the main contributor for the differences in release
278 profiles observed between the calendered tablets and the multiparticulate formulation. Based
279 on the release data it is evident that manufacturing an easily swallowable tablet-shaped
280 monolithic dosage form offered an advantage over the multiparticulate formulation for
281 sustained drug release. For the calendered formulation B, with 5 % PEO and 30 % drug
282 content, MPT release after 48 h was only 75 %. In contrast, complete drug release from
283 formulation C (containing 20 % PEO and 15 % MPT) was obtained after 48 h (Fig. 6). These
284 differences in release profiles indicated that PEO was the main contributor for drug release.
285 Because of the smaller dimensions of the mini-matrices, the matrix effect was of lesser
286 importance for MPT release from the multiparticulates. The type of dosage form did not
287 influence the immediate release profile of HCT, with a complete release within 45 min for all
288 formulations (Fig. 7). To assess the impact of cooling during calendaring (essential to avoid
289 sticking of the dosage form to the rolls), the effect of the cooling rate on MPT release from the
290 calendered tablets was determined. Cooling was performed via quench-cooling in liquid
291 nitrogen or via cooling at room temperature. However, the MPT release profiles were
292 independent of the cooling technique. Moreover, X-ray diffractograms of the extrudates of
293 formulations A and B demonstrated that cooling rate did not affect crystallinity of MPT in these
294 formulations (Fig. 8).

295 **4. CONCLUSION**

296 In this study we have demonstrated that calendaring is a promising downstream processing
297 step to continuously produce tablet-shaped monolithic FDC dosage forms from multi-layered
298 matrix co-extrudates. With the calendared tablet an *in vitro* MPT release was sustained over
299 24 to 48 h, in combination with an immediate HCT release from the coat. The differences in
300 diffusion path length of the final monolithic tablet-shaped dosage form mainly determined the
301 MPT release from the core. Calendaring and cooling did not affect the sustained MPT release
302 profiles. A limited reduction of the porosity of the core after calendaring indicated some
303 additional densification of the material during calendaring. The shaping technique did not alter
304 the solid state of the drugs. Further characterization using TPI revealed a gradient in coat
305 thickness and incomplete adhesion between core and coat, the latter being inherent to the co-
306 extrudate and independent of the calendaring step, as visualized by micro-CT. This implied
307 that at the present stage of development calendaring is promising to shape FDC dosage forms
308 with an immediate release coat, but when a sustained or extended release coat needs to be
309 applied the technique is not an adequate solution.

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312

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369 **Tables**

370 **Table 1.** Composition of calendered formulations A, B and C.

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		Matrix composition (%)			Drug load (%)
Formulation A	Core	EC 53	DBS 27	PEO 1M 20	MPT 30
	Coat	PEO100K 85		PEG 4K 15	HCT 5.6
Formulation B	Core	EC 62	DBS 33	PEO 1M 5	MPT 30
	Coat	PEO100K 85		PEG 4K 15	HCT 5.6
Formulation C	Core	EC 53	DBS 27	PEO 1M 20	MPT 15
	Coat	PEO100K 85		PEG 4K 15	HCT 2.8

372

373 **Figures**

374 **Fig. 1.** Cross-section (left) and side-view (right) of a calendered tablet, with a sustained release
375 core and an immediate release coat.

376 **Fig. 2.** False-colour images, showing spatial distribution, and histograms of coating thickness
377 for coat layer and for the air gap between core and coating layer, of the calendered tablet
378 formulation B, analysed both at top and bottom of the tablet.

379 **Fig. 3.** Micro-CT image of a string of calendered tablets, where the recurrent thinner part of the
380 coat layer is indicated with an arrow, and detail of the calendered tablet for formulation B.

381 **Fig. 4.** Evolution of glass transition temperature, fitted with a Gordon-Taylor law (—●—) and
382 solubility curve ◆ for HCT in the PEO/PEG carrier.

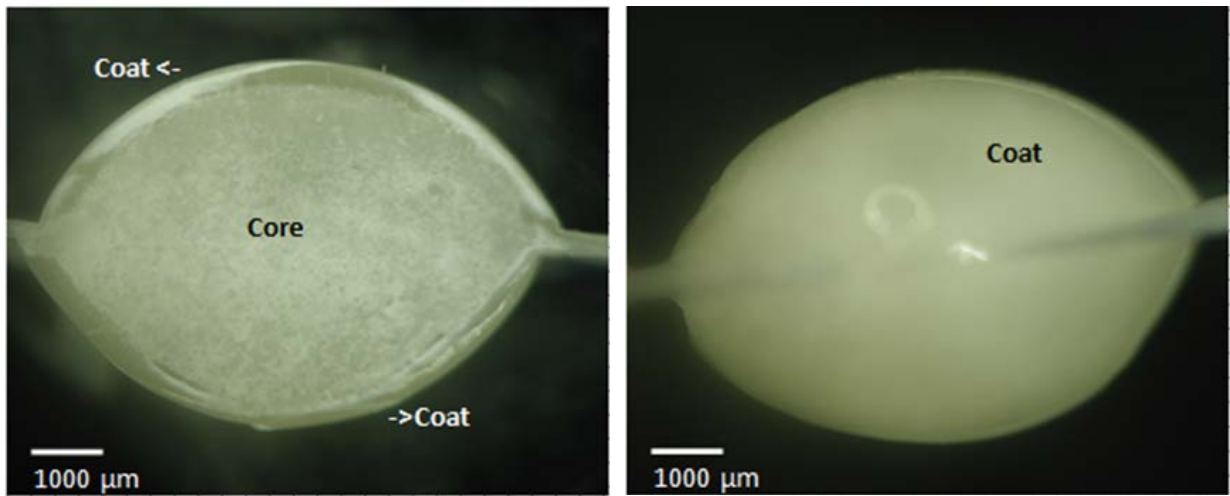
383 **Fig. 5.** Pore size distributions of internal pores for mini-matrix (■) and calendered tablet (●),
384 analysed on a reconstructed micro-CT image.

385 **Fig. 6.** *In vitro* MPT release (in phosphate buffer pH 6.8) from calendered tablets (open
386 symbols) and mini-matrices (closed symbols) for formulation A (circle), formulation B (square),
387 formulation C (triangle). Mean (n = 3) dissolution profiles (± SD) of co-extrudates.

388 **Fig. 7.** Mean *in vitro* HCT release (in HCl 0.1 N) from calendered tablets (open symbols) and
389 mini-matrices (closed symbols) for formulations A to C. Mean (n = 3) dissolution profiles (± SD)
390 of co-extrudates.

391 **Fig. 8.** X-ray diffraction patterns of extruded core. MPT (1), formulation B cooled at room
392 temperature (2) or quench-cooled in liquid nitrogen (3), formulation A cooled at room
393 temperature (4) or quench-cooled in liquid nitrogen (5).

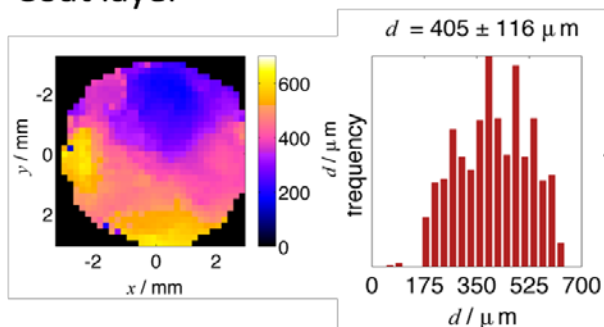
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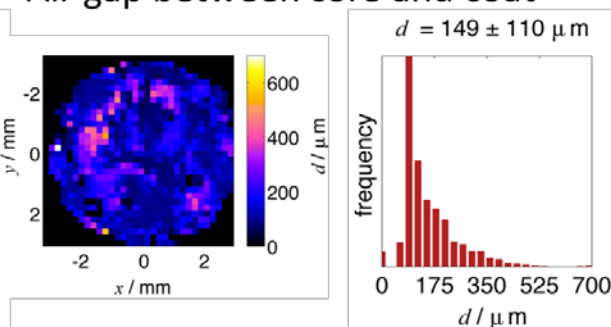
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Top face

Coat layer

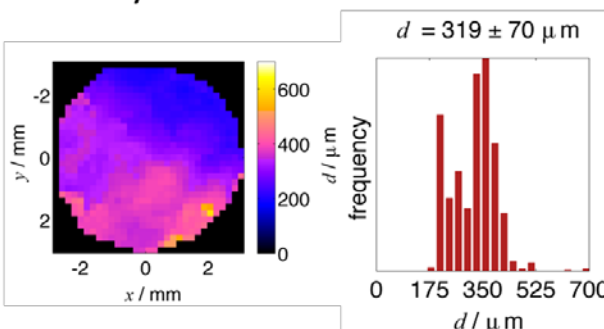


Air gap between core and coat

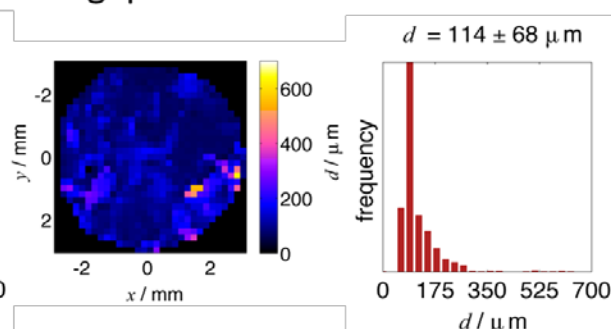


Bottom face

Coat layer



Air gap between core and coat

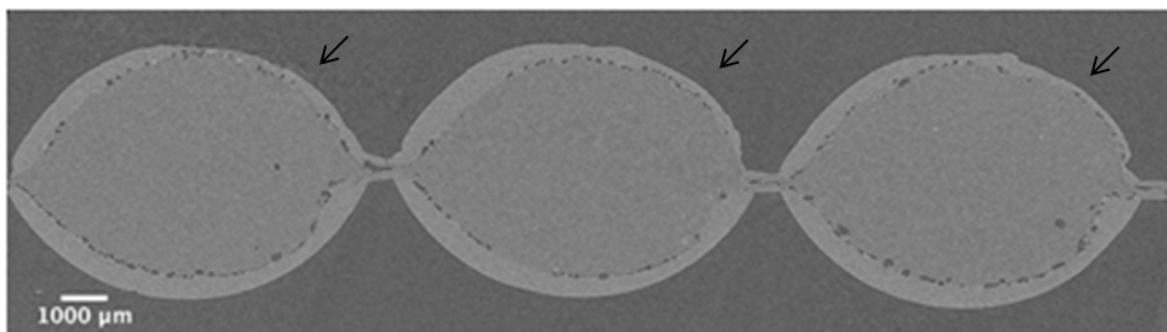


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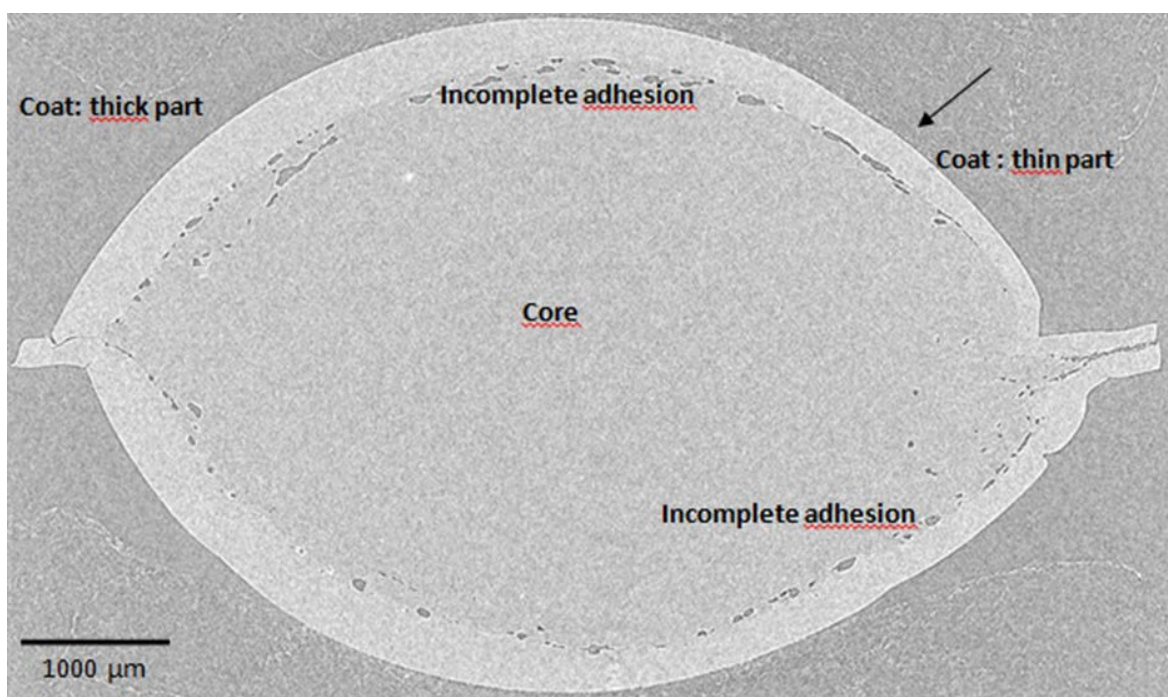
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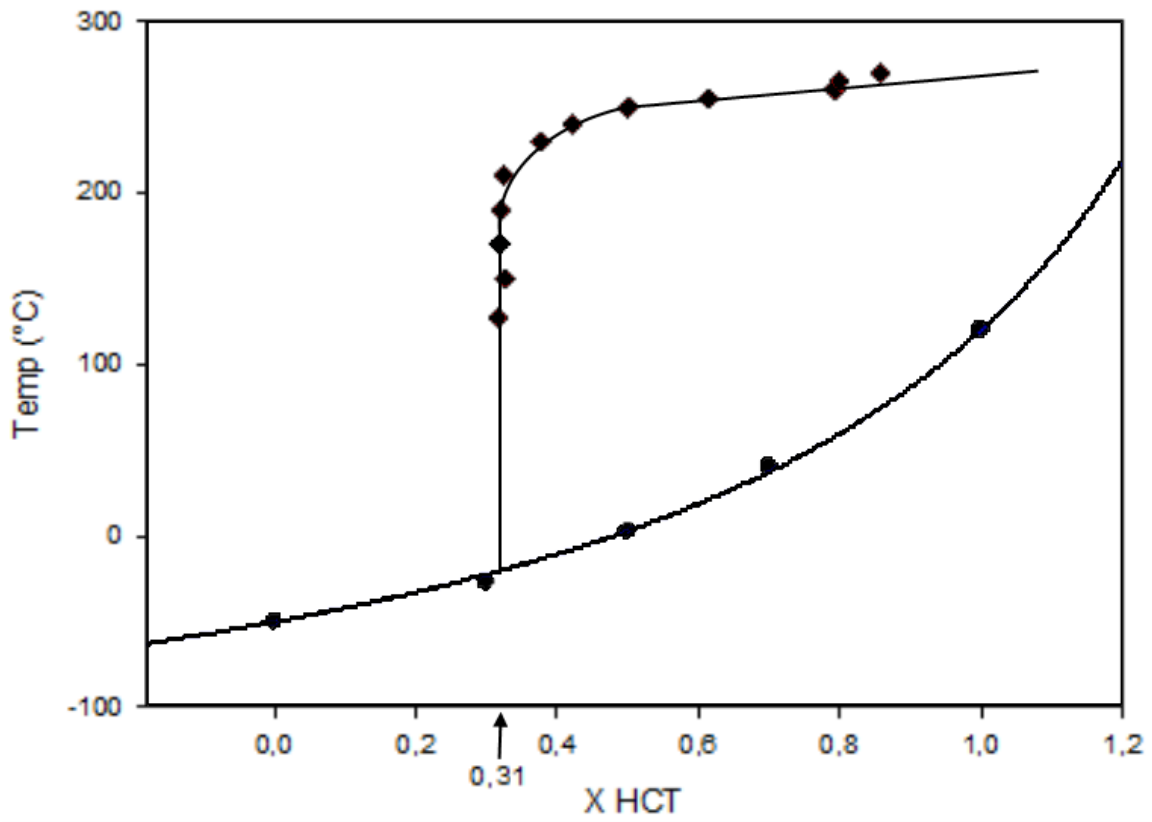


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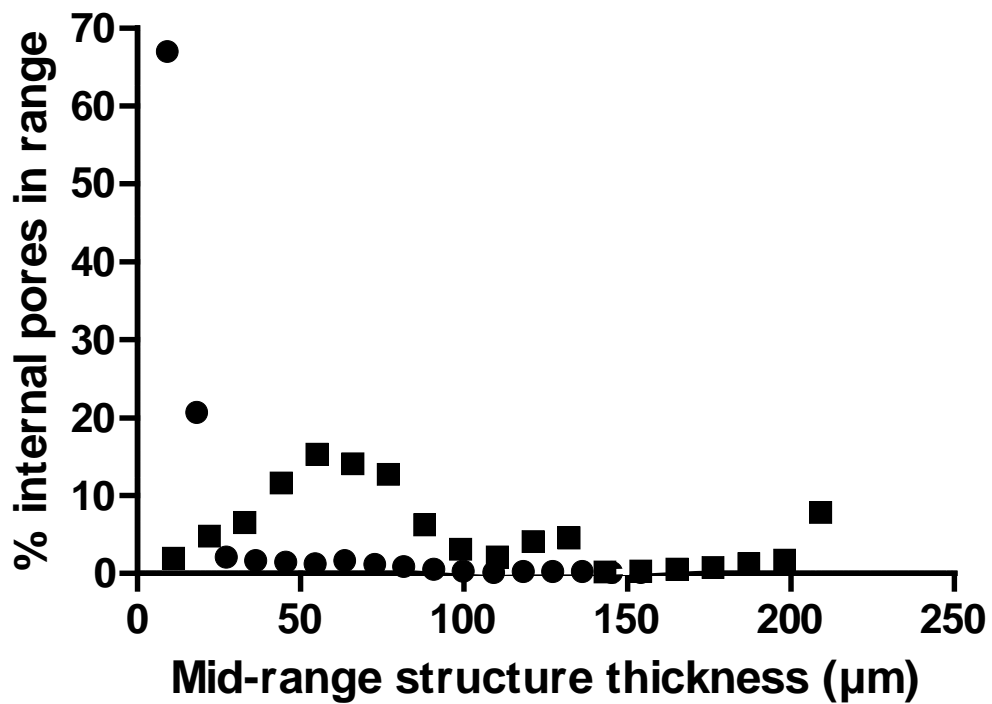
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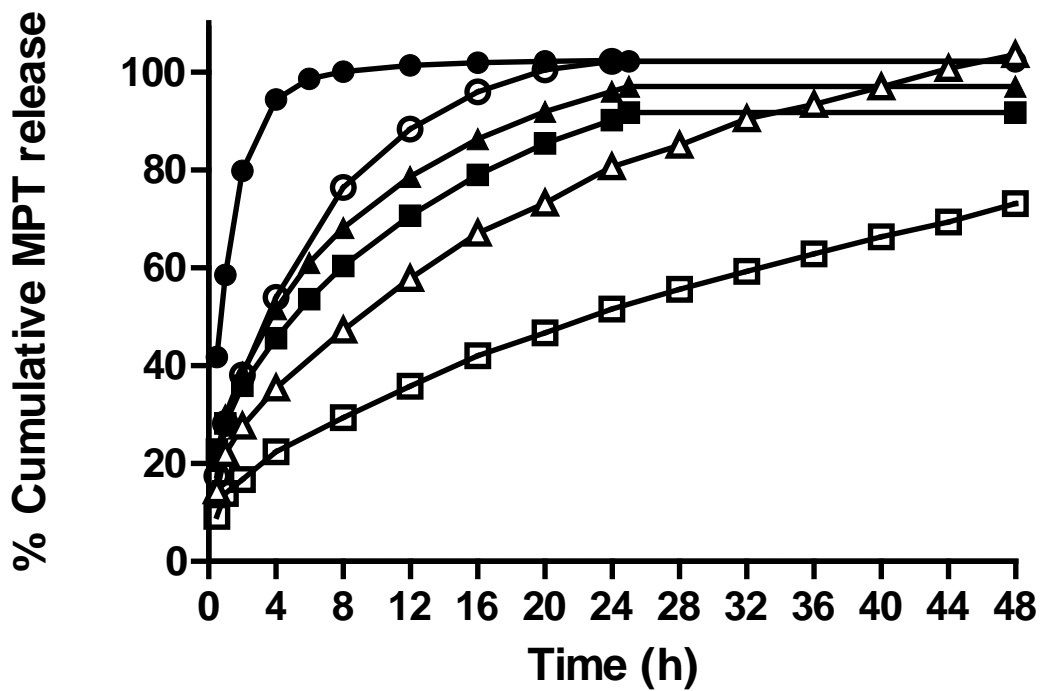
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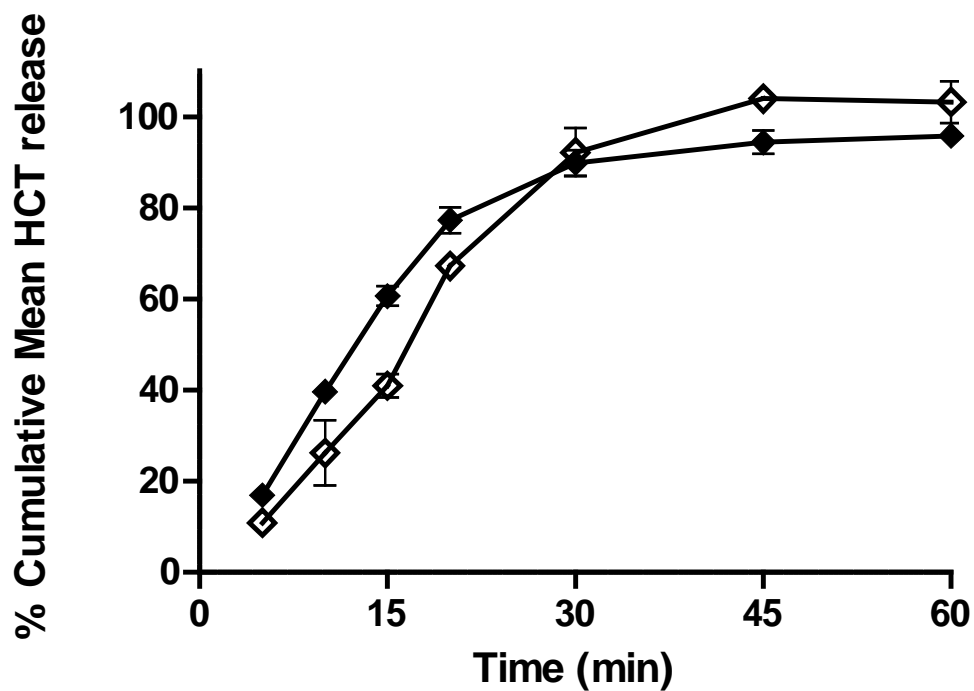
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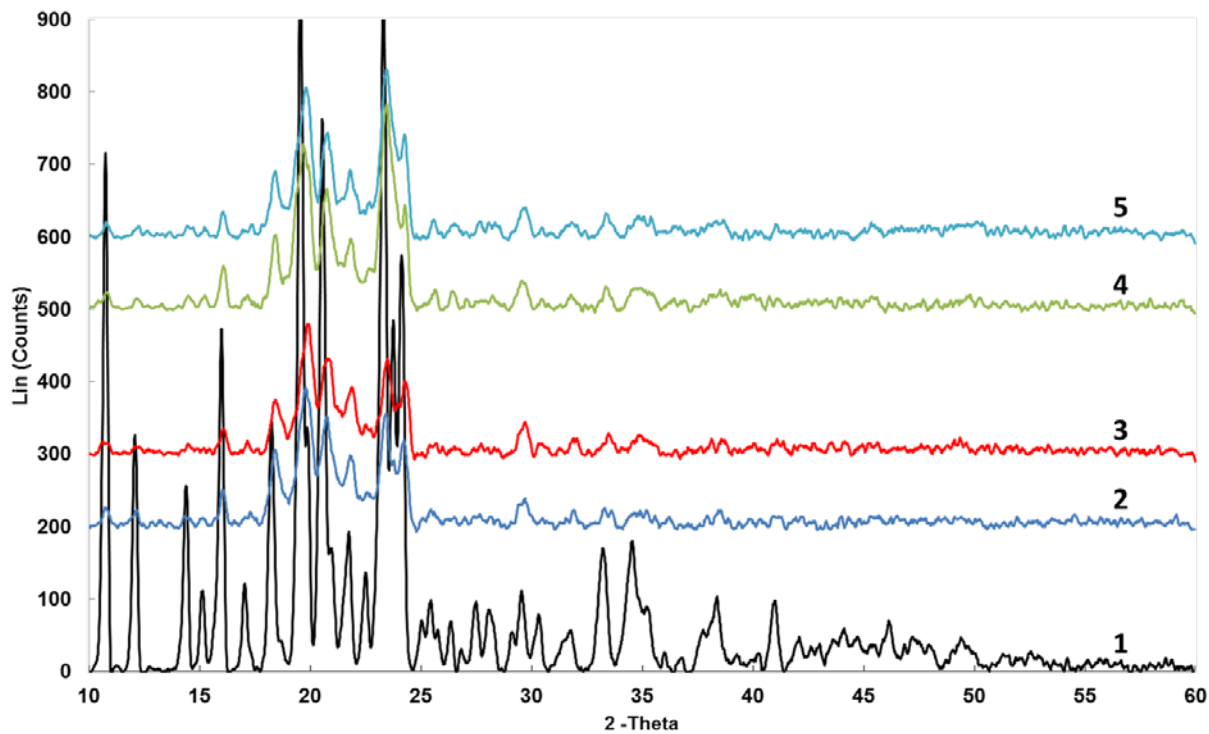
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